### Advanced Data Management (CSCI 680/490)

#### Databases

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D. Koop, CSCI 680/490, Spring 2022





#### Python Features

- Iterators: for loops use to go through elements - it = iter(d.values()); next(it)
- Comprehensions: succinct computations over collections (map & filter) - squares =  $[i^*2$  for i in range(10) if i 3 = 1
- Exceptions: deal with errors when desired, allow aggregation -try-except-else-finally
- Object-Oriented Programming:
  - Class definitions ( init , self)
  - Using object obj: obj.field, obj.function()

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2

### Databases & DBMSes

- Database:
- Basically, just structured data/information stored on a computer - Very generic, doesn't specify specific way that data is stored - Can be single-file (or in-memory) or much more complex Database Management System (DBMS):
  - Software to manage databases
  - Instead of each program writing its own methods to manage data, abstract data management to the DBMS
  - Specify structure of the data (schema)
  - Provide query capabilities









### Data Models

- The data model specifies:

  - what data can be stored (and sometimes how it is stored) - associations between different data values
  - what constraints can be enforced
  - how to access and manipulate the data
- Relational model
- Entity-Relationship data model (mainly for database design) Object-based data models (Object-oriented and Object-relational)
- Semistructured data model (XML)
- Network Model









### Relational Model & Relations

- Relations are basically tables of data
  - Each row represents a tuple in the relation
- A relational database is an **unordered** set of relations
  - Each relation has a unique name in the database
- Each row in the table specifies a relationship between the values in that row
  - The account ID "A-307", branch name "Seattle", and balance "275" are all related to each other

acct_id	branch_name	balance
A-301	New York	350
A-307	Seattle	275
A-318	Los Angeles	550
	• • •	











#### Database Schema

- Database schema: the logical structure of the database.
- Database instance: a snapshot of the data at a given instant in time.
- Example Schema
  - instructor (ID, name, dept name, salary

	ID	name	dept_name	salary
	22222	Einstein	Physics	95000
	12121	Wu	Finance	90000
le	32343	El Said	History	60000
	45565	Katz	Comp. Sci.	75000
	98345	Kim	Elec. Eng.	80000
	76766	Crick	Biology	72000
	10101	Srinivasan	Comp. Sci.	65000
7)	58583	Califieri	History	62000
	83821	Brandt	Comp. Sci.	92000
	15151	Mozart	Music	40000
	33456	Gold	Physics	87000
	76543	Singh	Finance	80000



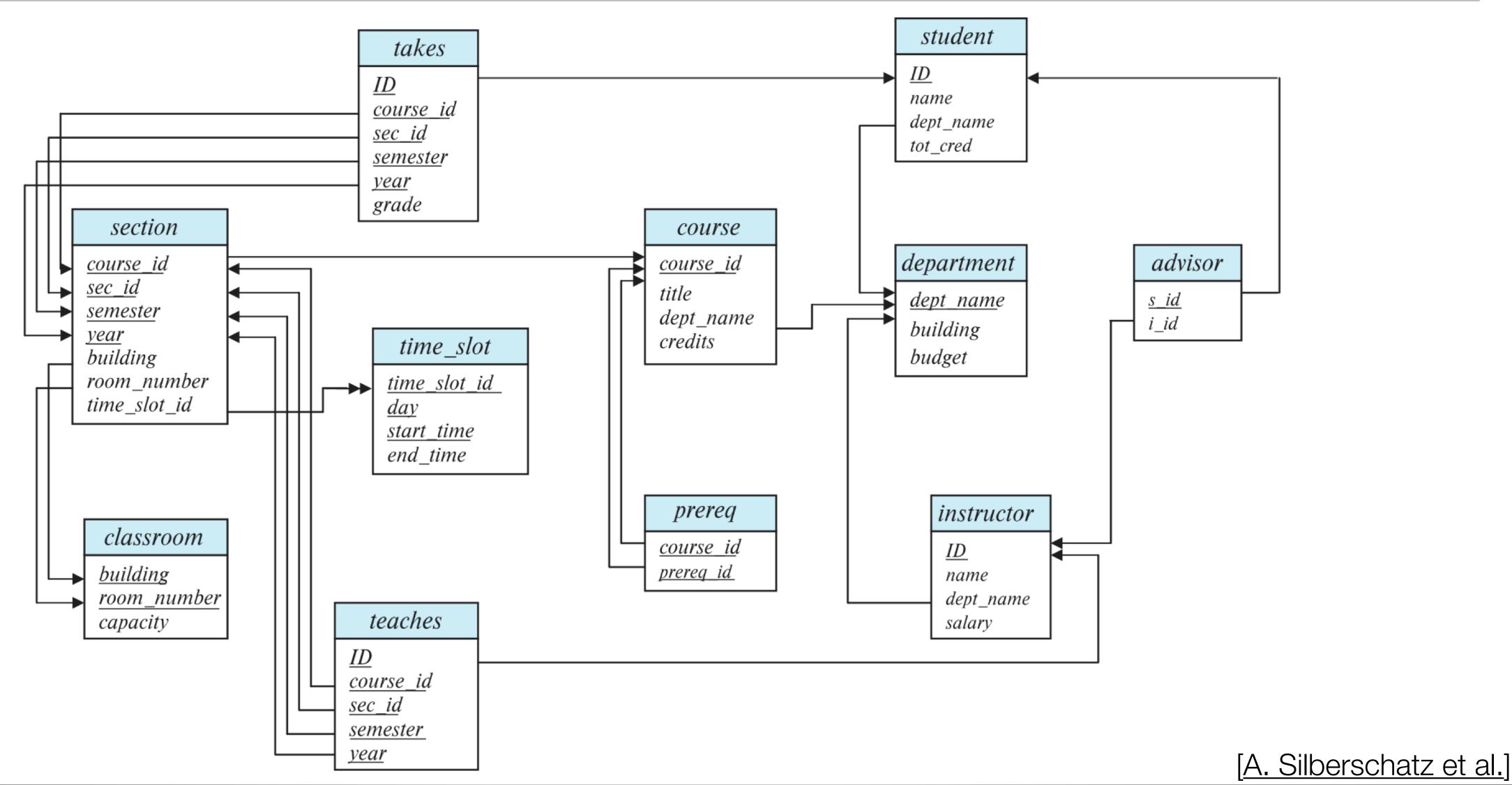








### Schema Diagram with Keys



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7

### Relational Algebra

- Six basic operators
  - select: σ
  - project:
  - union: U
  - set difference: -
  - Cartesian product: x
  - rename: p

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#### Definition: A procedural language consisting of a set of operations that take one or two relations as input and produce a new relation as their result.













### Select Operation

- The select operation selects tuples that satisfy a given predicate.
- Notation:  $\sigma_p(r)$
- p is called the selection predicate
- is in the "Physics" department.
  - Query: Odept name="Physics"(instructor)
  - Result:

ID	name	dept_name	
22222	Einstein	Physics	9
33456	Gold	Physics	8

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#### Example: select those tuples of the instructor relation where the instructor

salary 95000 87000











### Project Operation

ID	name	salary
10101	Srinivasan	65000
12121	Wu	90000
15151	Mozart	40000
22222	Einstein	95000
32343	El Said	60000
33456	Gold	87000
45565	Katz	75000
58583	Califieri	62000
76543	Singh	80000
76766	Crick	72000
83821	Brandt	92000
98345	Kim	80000

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#### Example: eliminate the dept name attribute of instructor

Query: [ID, name, salary (instructor)]











### Cartesian-Product Operation

- The **Cartesian-product** operation (denoted by X) allows us to combine information from any two relations.
- Example: the Cartesian product of the relations instructor and teaches is written as: instructor X teaches
- We construct a tuple of the result out of each possible pair of tuples: one from the instructor relation and one from the teaches relation
- Since the instructor ID appears in both relations we distinguish between these attribute by attaching to the attribute the name of the relation from which the attribute originally came.
  - instructor.ID and teaches.ID











11

### Join Operation

- instructor with every tuple of teaches.
  - Most of the resulting rows have information about instructors who **did not** teach a particular course.
- To get only those tuples of instructor X teaches that pertain to instructors and the courses that they taught, we write:

- We get only those tuples of instructor X teaches that pertain to instructors and the courses that they taught.

## • The Cartesian-Product instructor X teaches associates every tuple of

 $\sigma$ instructor.id = teaches.id (instructor X teaches)







12

### Equivalent Queries

- department
- Query 1:

• Query 2

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#### • Example: Find information about courses taught by instructors in the Physics

#### $\sigma_{dept_name="Physics"}$ (instructor M instructor.ID = teaches.ID teaches)

#### $(\sigma_{dept_name="Physics"}(instructor)) \bowtie$ instructor.ID = teaches.ID teaches The order of joins is one focus of some of the work on query optimization















### <u>Assignment 1</u>

- Due Monday, Feb. 7 at 11:59pm
- Using Python for data analysis on the Met's artwork Provided a1.ipynb file (right-click and download) Use basic python for now to demonstrate language knowledge
- No pandas (for now)
- Use Anaconda or hosted Python environment
- Turn .ipynb file in via Blackboard
- Notes:
  - You will need to do some parsing of the data (converting to ints, splitting) strings)





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### SQL





### SQL History

- Jose Research Laboratory
- Renamed Structured Query Language (SQL)
- ANSI and ISO SQL: SQL-86, SQL-89, SQL-92, SQL:1999, SQL:2003
- Commercial systems offer most, if not all, SQL-92 features, plus varying feature sets from later standards and special proprietary features.
- Not all examples work on all systems

IBM Sequel language developed as part of System R project at the IBM San











### Components of SQL

- Data Definition Language (DDL): the specification of information about relations, including schema, types, integrity constraints, indices, storage
- Data Manipulation Language (DML): provides the ability to query information from the database and to insert tuples into, delete tuples from, and modify tuples in the database.
- Integrity: the DDL includes commands for specifying integrity constraints. • View definition: The DDL includes commands for defining views.
- Also: Transaction control, embedded and dynamic SQL, authorization







17

- An SQL relation is defined using the create table command:
  - r is the **name** of the relation
  - each  $A_i$  is an **attribute name** in the schema of relation r
  - $D_i$  is the **data type** of values in the domain of attribute  $A_i$
- Example:
  - create table instructor ( char (5 ID varcha name varcha dept name salary numeri

# create table $r(A_1 D_1, A_2 D_2, ..., A_n D_n, (C_1), ..., (C_k))$



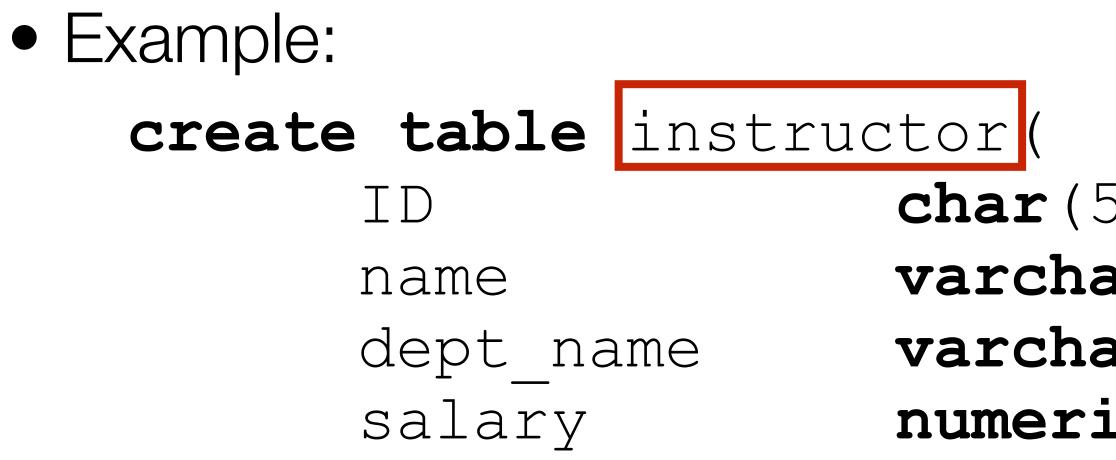








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# create table r ( $A_1 D_1, A_2 D_2, ..., A_n D_n, (C_1), ..., (C_k)$ )



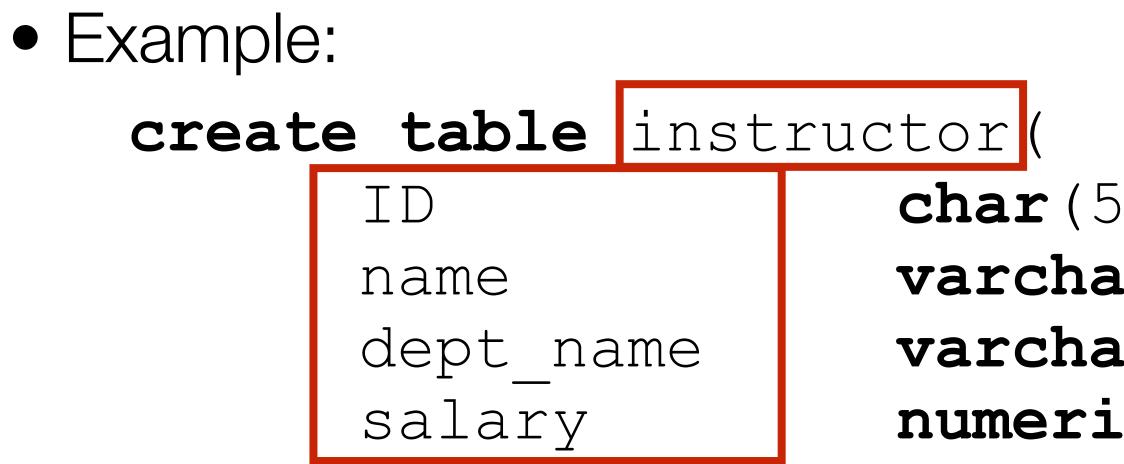








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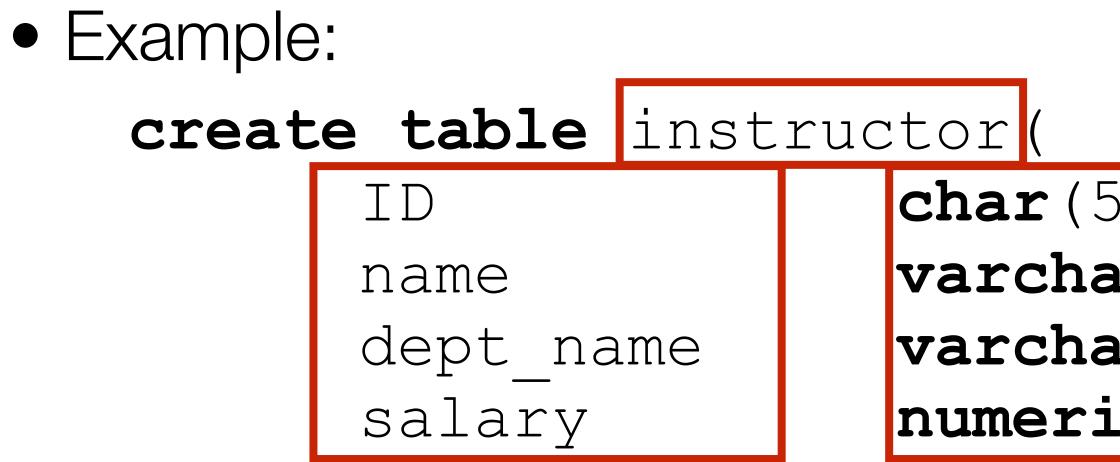








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# create table $r(A_1 D_1, A_2 D_2, ..., A_n D_n, (C_1), ..., (C_k))$











### Integrity Constraints in Create Table

- Types of integrity constraints
  - primary key  $(A_1, \ldots, A_n)$
  - foreign key  $(A_m, \ldots, A_n)$  references r
  - not null
- create table instructor (
  - char(5), ID varchar(20) not null, name dept name **varchar**(20), salary numeric(8,2),
  - primary key (ID), foreign key (dept name) references department);

SQL prevents any update to the database that violates an integrity constraint



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#### Updates to tables

- Insert: insert into instructor values ('10211', 'Smith', 'Biology', 66000);
- Drop Table: drop table r
- Alter: alter table r add A D; alter table r drop A
  - A is the name of the attribute to be added to relation r
  - D is the domain of A
  - All exiting tuples are assigned null for the new attribute's value - Dropping of attributes not widely supported

• Delete: delete from student; -- remove all tuples from student













### Basic Query Structure

- A typical SQL query has the form: **select**  $A_1, A_2, ..., A_n$ **from** *l*<sub>1</sub>, *l*<sub>2</sub>, ..., *l*<sub>m</sub> where *P* 
  - Ai represents an **attribute**
  - r<sub>i</sub> represents a relation
  - *P* is a **predicate**.
- The result of an SQL query is a **relation**





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#### Select

- The select clause lists the attributes desired in the result of a query - corresponds to the projection operation of the relational algebra • Example: Find the names of all instructors
- - **select** name **from** instructor;
- Note: SQL names are **case insensitive** 
  - Name and NAME and name are equivalent
  - Some people use upper case for language keywords (e.g. SELECT)







#### Select

- SQL allows duplicates in relations as well as in query results.
- To eliminate duplicates, put the keyword **distinct** after **select**.
- Example: Find the department names of all instructors (no duplicates)
  - select distinct dept name **from** instructor;
- The keyword **all** specifies that duplicates should not be removed
  - select all dept name **from** instructor;

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dept\_name Comp. Sci. Finance Music Physics History Physics Comp. Sci. History Finance **Biology** Comp. Sci. Elec. Eng.





#### Select

- An asterisk (\*) in the select clause denotes "all attributes" - **select** \* **from** instructor;
- An attribute can be a literal with no from clause (select '437')
  - Result is a table with one column and a single row with value '437'
  - Can give the column a name using as: select '437' as FOO
- An attribute can be a literal with from clause:
  - select 'A' from instructor
  - instructors table), each row with value "A"

- Result is a table with one column and N rows (number of tuples in the









### Select "Math"

- The select clause can contain arithmetic expressions involving the
- The query select ID, name, salary/12 from instructor would return a relation that is the same as the instructor relation, except that the value of the attribute salary is divided by 12.
- Can rename expressions using the **as** clause: - select ID, name, salary/12 as monthly salary

## operation, +, -, \*, and /, and operating on constants or attributes of tuples.







### Where

- The where clause specifies conditions that the result must satisfy
- Example: Find all instructors in Comp. Sci. dept
  - **select** name **from** instructor where dept name = 'Comp. Sci.'

# - Confusingly corresponds to the **selection** predicate in relational algebra











### Where

- The operands can be expressions with operators <, <=, >, >=, =, and <>• SQL allows the use of the logical connectives and, or, and not Comparisons can be applied to results of arithmetic expressions • Example: Find all instructors in Comp. Sci. with salary > 70000

- - select name **from** instructor where dept name = 'Comp. Sci.' and salary > 70000















#### From

- The **from** clause lists the relations involved in the query
- Find the Cartesian product instructor X teaches
  - select \* **from** instructor, teaches;
  - All possible instructor teaches pair, with all attributes from both
  - Shared attributes (e.g., ID) are renamed (e.g., instructor.ID)
- Not very useful directly but useful combined with where clauses.

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# - Corresponds to the Cartesian Product operation in relational algebra











#### From

- Find the names of all instructors wh taught some course and that course
  - select name, course id **from** instructor, teaches where instructor.ID = teach
- Find the names of all instructors in t department who have taught some and the course id
  - select name, course id **from** instructor, teaches where instructor.ID = teach and instructor.dept name = 'Art'

no have	name	course_id
se_id	Srinivasan	CS-101
	Srinivasan	CS-315
	Srinivasan	CS-347
	Wu	FIN-201
hes.ID	Mozart	MU-199
$\Pi \in S \bullet \perp D$	Einstein	PHY-101
the Art	El Said	HIS-351
	Katz	CS-101
e course	Katz	CS-319
	Crick	BIO-101
	Crick	BIO-301
	Brandt	CS-190
	Brandt	CS-190
	Brandt	CS-319
hes.ID	Kim	EE-181
<b>-</b> . <b>-</b>		











#### The Rename Operation

- SQL allows renaming relations and attributes using the **as** clause:
  - old-name as new-name
- Example: Find the names of all instructors who have a higher salary than some instructor in 'Comp. Sci'.
  - select distinct T.name from instructor as T, instructor as S
- where T.salary > S.salary and S.dept name = 'Comp. Sci.' Keyword as is optional and may be omitted
  - instructor as T is equivalent to instructor T

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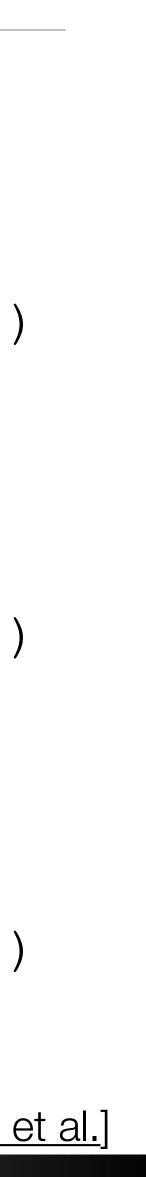
#### Set Operations

- Find courses that ran in Fall 2017 or in Spring 2018
- union
- Find courses that ran in Fall 2017 and in Spring 2018
- intersect
- Find courses that ran in Fall 2017 but not in Spring 2018
- except (select course id from section where sem = 'Spring' and year = 2018)

• (select course id from section where sem = 'Fall' and year = 2017) (select course id from section where sem = 'Spring' and year = 2018) • (select course id from section where sem = 'Fall' and year = 2017) (select course id from section where sem = 'Spring' and year = 2018) • (select course id from section where sem = 'Fall' and year = 2017)

[A. Silberschatz et al.]





31

### Aggregate Functions

- - **select avg** (salary) **from** instructor where dept name = 'Comp. Sci.';
- Find the total number of instructors who teach a course in the Spring 2018 semester
  - select count(distinct ID) **from** teaches where semester = 'Spring' and year = 2018;
- Find the number of tuples in the course relation
  - select count(\*) from course;

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#### Find the average salary of instructors in the Computer Science department











### Group By

- Find the average salary of instructors in each department
  - select dept name, avg(salary) as avg salary **from** instructor group by dept name;

ID	name	dept_name	salary
76766	Crick	Biology	72000
45565	Katz	Comp. Sci.	75000
10101	Srinivasan	Comp. Sci.	65000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000
12121	Wu	Finance	90000
76543	Singh	Finance	80000
32343	El Said	History	60000
58583	Califieri	History	62000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
22222	Einstein	Physics	95000

dept_name	avg_salary
Biology	72000
Comp. Sci.	77333
Elec. Eng.	80000
Finance	85000
History	61000
Music	40000
Physics	91000













## Group By

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History	61000
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Physics	91000













## Having Clause

- Filter groups based on predicates
- Predicates in the having clause are applied **after** the formation of groups whereas predicates in the where clause are applied **before** forming groups • Example: Find the names and average salaries of all departments whose
- average salary is greater than 42,000
  - select dept name, avg(salary) as avg salary from instructor group by dept name having avg(salary) > 42000;











## Modification of the Database

- Deleting tuples from a given relation.
- Inserting new tuples into a given relation
- Updating values in some tuples in a given relation













## Deletion

- Delete all instructors: delete from instructor;
- Delete all instructors from the Finance department
  - **delete from** instructor where dept name= 'Finance';
- a department located in the Watson building
- **delete from** instructor where dept name in (select dept name

Delete all tuples in the instructor relation for those instructors associated with

**from** department where building = 'Watson');











## Deletion

- Delete all instructors: delete from instructor;
- Delete all instructors from the Finance department
  - **delete from** instructor where dept name= 'Finance';
- a department located in the Watson building
- **delete from** instructor where dept name in (select dept name

Delete all tuples in the instructor relation for those instructors associated with

**from** department where building = 'Watson');











### Insertion

- Add a new tuple to course
  - insert into course
- Or...
- Add a new tuple to student with tot creds set to null
  - insert into student values ('3003', 'Green', 'Finance', null);

values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);

- insert into course (course id, title, dept name, credits) values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);











### Insertion

- **insert into** instructor select ID, name, dept name, 18000 from student where dept name = 'Music' and total cred > 144;

- are inserted into the relation.
- If not queries like

would cause problems

 Make each student in the Music department who has earned more than 144 credit hours an instructor in the Music department with a salary of \$18,000.

• The select-from-where statement is evaluated fully before any of its results

insert into table1 select \* from table1











## Jpdates

- Give a 5% salary raise to all instructors
  - update instructor set salary = salary \* 1.05
- Give a 5% salary raise to those instructors who earn less than 70000
  - update instructor set salary = salary \* 1.05 where salary < 70000;</pre>
- Give a 5% salary raise to instructors whose salary is less than average
  - update instructor set salary = salary \* 1.05 where salary < (select avg(salary) from instructor);</pre>





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## Jpdates

- others by a 5%
- Use two update statements:
- update instructor set salary = salary \* 1.03 where salary > 100000;
- update instructor set salary = salary \* 1.05 where salary <= 100000;</pre>
- Order matters!

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### Increase salaries of instructors whose salary is over \$100,000 by 3%, and all















## Joins

- Join operations take two relations and return another relation. From relational algebra, this is a Cartesian product + selection Want tuples in the two relations to match (under some condition) • The join operations typically used as subquery expressions in the from clause

- Three types of joins:
  - Natural join
  - Inner join
  - Outer join













## Natural Join

- and retains only one copy of each common column.
- they taught
  - select name, course id from students, takes where student.ID = takes.ID;
- Same guery in SQL with "natural join" construct
  - select name, course id from student natural join takes;

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# Natural join matches tuples with the same values for all common attributes,

List the names of instructors along with the course ID of the courses that











### Example: Student Schedules

ID	name	dept_name	tot_cred
00128	Zhang	Comp. Sci.	102
12345	Shankar	Comp. Sci.	32
19991	Brandt	History	80
23121	Chavez	Finance	110
44553	Peltier	Physics	56
45678	Levy	Physics	46
54321	Williams	Comp. Sci.	54
55739	Sanchez	Music	38
70557	Snow	Physics	0
76543	Brown	Comp. Sci.	58
76653	Aoi	Elec. Eng.	60
98765	Bourikas	Elec. Eng.	98
98988	Tanaka	Biology	120

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ID	course_id	sec_id	semester	year	grade
00128	CS-101	1	Fall	2017	Α
00128	CS-347	1	Fall	2017	A-
12345	CS-101	1	Fall	2017	С
12345	CS-190	2	Spring	2017	Α
12345	CS-315	1	Spring	2018	A
12345	CS-347	1	Fall	2017	Α
19991	HIS-351	1	Spring	2018	В
23121	FI <b>N-2</b> 01	1	Spring	2018	C+
44553	PHY-101	1	Fall	2017	B-
45678	CS-101	1	Fall	2017	F
45678	CS-101	1	Spring	2018	B+
45678	CS-319	1	Spring	2018	В
54321	CS-101	1	Fall	2017	A-
54321	CS-190	2	Spring	2017	B+
55739	MU-199	1	Spring	2018	A-
76543	CS-101	1	Fall	2017	Α
76543	CS-319	2	Spring	2018	Α
76653	EE-181	1	Spring	2017	С
98765	CS-101	1	Fall	2017	C-
98765	CS-315	1	Spring	2018	В
98988	BIO-101	1	Summer	2017	Α
98988	BIO-301	1	Summer	2018	null

### [A. Silberschatz et al.]





## Example: Natural Join

ID	name	dept_name	tot_cred	course_id	sec_id	semester	year	grade
00128	Zhang	Comp. Sci.	102	CS-101	1	Fa11	2017	Α
00128	Zhang	Comp. Sci.	102	CS-347	1	Fa11	2017	A-
12345	Shankar	Comp. Sci.	32	CS-101	1	Fall	2017	С
12345	Shankar	Comp. Sci.	32	CS-190	2	Spring	2017	A
12345	Shankar	Comp. Sci.	32	CS-315	1	Spring	2018	Α
12345	Shankar	Comp. Sci.	32	CS-347	1	Fall	2017	A
19991	Brandt	History	80	HIS-351	1	Spring	2018	В
23121	Chavez	Finance	110	FIN-201	1	Spring	2018	C+
44553	Peltier	Physics	56	PHY-101	1	Fa11	2017	B-
45678	Levy	Physics	46	CS-101	1	Fa11	2017	F
45678	Levy	Physics	46	CS-101	1	Spring	2018	B+
45678	Levy	Physics	46	CS-319	1	Spring	2018	В
54321	Williams	Comp. Sci.	54	CS-101	1	Fa11	2017	A-
54321	Williams	Comp. Sci.	54	CS-190	2	Spring	2017	B+
55739	Sanchez	Music	38	MU-199	1	Spring	2018	A-
76543	Brown	Comp. Sci.	58	CS-101	1	Fa11	2017	A
76543	Brown	Comp. Sci.	58	CS-319	2	Spring	2018	A
76653	Aoi	Elec. Eng.	60	EE-181	1	Spring	2017	С
98765	Bourikas	Elec. Eng.	98	CS-101	1	Fall	2017	C-
98765	Bourikas	Elec. Eng.	98	CS-315	1	Spring	2018	В
98988	Tanaka	Biology	120	BIO-101	1	Summer	2017	A
98988	Tanaka	Biology	120	BIO-301	1	Summer	2018	null











## Natural Join Danger

- Example: List the names of students instructors along with the titles of courses that they have taken
  - select name, title from student natural join takes natural join course;
- Wrong... only lists courses when the student took courses in their department (major)
- Correct:
  - select name, title from student natural join takes, course where takes.course id = course.course id;

• Beware of unrelated attributes with same name which get equated incorrectly













## Outer Join

- Joins so far are inner joins
- Outer joins returns tuples from one (or both) relations that do not match tuples in the other relation
- Fills in missing values with null
- Three forms of outer join:
  - left outer join
  - **right** outer join
  - full **outer** join







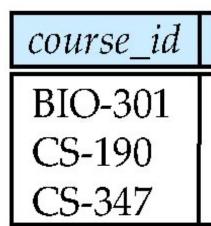


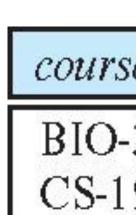


## Join Examples

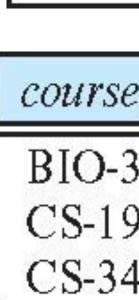
course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

course









Right Join

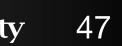
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rse_id	prereq_id
D-301	BIO-101
-190	CS-101
-347	CS-101
pre	ereq

course_id	title	dept_name	credits	prereq_id
BIO-301	Genetics	Biology	4	BIO-101
CS-190	Game Design	Comp. Sci.	4	CS-101
CS-315	Robotics	Comp. Sci.	3	null

e_id	title	dept_name	credits	prereq_id
18.00 State	and the second	Biology	812	BIO-101
90	Game Design	Comp. Sci.	4	CS-101
47	null	null	null	CS-101



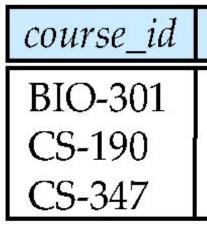




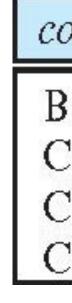
## Join Examples

course_id	title	dept_name	credits
BIO-301	Genetics	Biology	4
CS-190	Game Design	Comp. Sci.	4
CS-315	Robotics	Comp. Sci.	3

course



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(Full) Outer Join

Inner Join

cours BIO-CS-1

prereq_id
BIO-101
CS-101
CS-101
req

ourse_id	title	dept_name	credits	prereq_id
BIO-301 CS-190 CS-315	Genetics Game Design Robotics	Biology Comp. Sci. Comp. Sci.	4 3	BIO-101 CS-101 null
CS-347	null	null	null	CS-101

se_id	title	dept_name	credits	prereq_id	course_id
	Genetics Game Design	Biology Comp. Sci.		BIO-101 CS-101	BIO-301 CS-190









