### Advanced Data Management (CSCI 680/490)

#### Databases

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### Python Features

- Iterators: for loops use to go through elements - it = iter(d.values()); next(it)
- Comprehensions: succinct computations over collections (map & filter) - squares =  $[i^*2$  for i in range(10) if i 3 = 1
- Exceptions: deal with errors when desired, allow aggregation -try-except-else-finally
- Object-Oriented Programming:
  - Class definitions ( init , self)
  - Using object obj: obj.field, obj.function()

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### Databases & DBMSes

- Database:
- Basically, just structured data/information stored on a computer - Very generic, doesn't specify specific way that data is stored - Can be single-file (or in-memory) or much more complex Database Management System (DBMS):
  - Software to manage databases
  - Instead of each program writing its own methods to manage data, abstract data management to the DBMS
  - Specify structure of the data (schema)
  - Provide query capabilities









### Data Models

- The data model specifies:

  - what data can be stored (and sometimes how it is stored) - associations between different data values
  - what constraints can be enforced
  - how to access and manipulate the data
- Relational model
- Entity-Relationship data model (mainly for database design) Object-based data models (Object-oriented and Object-relational)
- Semistructured data model (XML)
- Network Model









## Relational Model & Relations

- Relations are basically tables of data
  - Each row represents a tuple in the relation
- A relational database is an **unordered** set of relations
  - Each relation has a unique name in the database
- Each row in the table specifies a relationship between the values in that row
  - The account ID "A-307", branch name "Seattle", and balance "275" are all related to each other

acct_id	branch_name	balance
A-301	New York	350
A-307	Seattle	275
A-318	Los Angeles	550
	• • •	











### <u>Assignment 1</u>

- Due Friday
- Using Python for data analysis on salary survey data
- Use basic python for now to work on language knowledge
- Potential issues with loading file:
  - file encoding on Windows (use encoding="UTF-8")
  - USE gzip.open
- Use Anaconda or a hosted Python environment
- Turn .ipynb file in via Blackboard

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### Database Schema

- Database schema: the logical structure of the database.
- Database instance: a snapshot of th data at a given instant in time.
- Example Schema
  - instructor (ID, name, dept name, salary

	ID	name	dept_name	salary
	22222	Einstein	Physics	95000
he	12121	Wu	Finance	90000
	32343	El Said	History	60000
	45565	Katz	Comp. Sci.	75000
	98345	Kim	Elec. Eng.	80000
y)	76766	Crick	Biology	72000
<u>y</u> /	10101	Srinivasan	Comp. Sci.	65000
	58583	Califieri	History	62000
	83821	Brandt	Comp. Sci.	92000
	15151	Mozart	Music	40000
	33456	Gold	Physics	87000
	76543	Singh	Finance	80000
L		I	[ <u>A. Silk</u>	berschatz et







- Let  $K \subseteq R$
- K is a superkey of R if values for K are sufficient to identify a unique tuple of each possible relation r(R)
  - Example: {ID} and {ID, name} are both superkeys of instructor.
- Superkey K is a candidate key if K is minimal Example: {ID} is a candidate key for Instructor
- One of the candidate keys is selected to be the **primary key**. - Which one?











## Foreign Key Constraints

- Foreign key constraint: Value in one relation must appear in another
  - Referencing relation
  - Referenced relation
  - referencing department

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- Example: dept name in instructor is a foreign key from instructor











### Schema Diagram with Keys



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## Relational Query Languages

- Procedural versus non-procedural, or declarative
- "Pure" languages:
  - Relational algebra
  - Tuple relational calculus
  - Domain relational calculus
- The above 3 pure languages are equivalent in computing power
- Concentrate on relational algebra
  - Not Turing-machine equivalent
  - 6 basic operations







## Relational Algebra

- Six basic operators
  - select: σ
  - project:
  - union: U
  - set difference: -
  - Cartesian product: x
  - rename: p

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#### Definition: A procedural language consisting of a set of operations that take one or two relations as input and produce a new relation as their result.









## Select Operation

- The select operation selects tuples that satisfy a given predicate.
- Notation:  $\sigma_p(r)$
- p is called the selection predicate
- is in the "Physics" department.
  - Query: Odept name="Physics"(instructor)
  - Result:

ID	name	dept_name	
22222	Einstein	Physics	9
33456	Gold	Physics	8

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#### Example: select those tuples of the instructor relation where the instructor

salary 95000 87000













## Select Operation Comparisons

- We allow comparisons using  $=, \neq, >, \geq, <, \leq$  in the selection predicate. • We can combine several predicates into a larger predicate by using the
- connectives:  $\Lambda$  (and),  $\nu$  (or),  $\neg$  (not)
- Example: Find the instructors in Physics with a salary greater than \$90,000: -  $\sigma_{dept_name="Physics" \land salary > 90,000 (instructor)}$
- The select predicate may include comparisons between two **attributes**. - Example: departments whose name is the same as their building name:

  - Odept\_name=building (department)

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## Project Operation

- out.
- Notation:  $\prod_{A_1,A_2,A_3,\ldots,A_k} (r)$ where  $A_1, A_2, A_3, \dots, A_k$  are attribute names and r is a relation name.
- The result is defined as the relation of k columns obtained by erasing the columns that are not listed
- Duplicate rows removed from result, since relations are sets

#### • A unary operation that returns its argument relation, with certain attributes left















## Project Operation Example

ID	name	salary
10101	Srinivasan	65000
12121	Wu	90000
15151	Mozart	40000
22222	Einstein	95000
32343	El Said	60000
33456	Gold	87000
45565	Katz	75000
58583	Califieri	62000
76543	Singh	80000
76766	Crick	72000
83821	Brandt	92000
98345	Kim	80000

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- Example: eliminate the dept name attribute of instructor
- Query: [ID, name, salary (instructor)]











## Composition of Relational Operations

- The result of a relational-algebra operation is a relation • ... so relational-algebra operations can be **composed** together into a
- relational-algebra expression.
- Example: Find the names of all instructors in the Physics department.

 Instead of giving the name of a relation as the argument of the projection operation, we give an expression that evaluates to a relation.

```
[Iname(Odept_name = "Physics" (instructor))
```







### Cartesian-Product Operation

- The **Cartesian-product** operation (denoted by X) allows us to combine information from any two relations.
- Example: the Cartesian product of the relations instructor and teaches is written as: instructor X teaches
- We construct a tuple of the result out of each possible pair of tuples: one from the instructor relation and one from the teaches relation
- Since the instructor ID appears in both relations we distinguish between these attribute by attaching to the attribute the name of the relation from which the attribute originally came.
  - instructor.ID and teaches.ID

















### The instructor X teaches table

instructor.ID	name	dept_name	salary	teaches.ID	course_id	sec_id	semester	year
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2017
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2018
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2017
10101	Srinivasan	Comp. Sci.	65000	12121	FIN-201	1	Spring	2018
10101	Srinivasan	Comp. Sci.	65000	15151	MU-199	1	Spring	2018
10101	Srinivasan	Comp. Sci.	65000	22222	PHY-101	1	Fall	2017
		•••		•••			•••	
				•••			•••	
12121	Wu	Finance	90000	10101	CS-101	1	Fall	2017
12121	Wu	Finance	90000	10101	CS-315	1	Spring	2018
12121	Wu	Finance	90000	10101	CS-347	1	Fall	2017
12121	Wu	Finance	90000	12121	FIN-201	1	Spring	2018
12121	Wu	Finance	90000	15151	MU-199	1	Spring	2018
12121	Wu	Finance	90000	22222	PHY-101	1	Fall	2017
•••		•••			•••		•••	A. Silbe

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## Join Operation

- instructor with every tuple of teaches.
  - Most of the resulting rows have information about instructors who **did not** teach a particular course.
- To get only those tuples of instructor X teaches that pertain to instructors and the courses that they taught, we write:

- We get only those tuples of instructor X teaches that pertain to instructors and the courses that they taught.

# • The Cartesian-Product instructor X teaches associates every tuple of

 $\sigma$ instructor.id = teaches.id (instructor X teaches)











## Join Operation (Cont.)

instructor.ID	name	dept_name	salary	teaches.ID	course_id	sec_id	semester	year
10101	Srinivasan	Comp. Sci.	65000	10101	CS-101	1	Fall	2017
10101	Srinivasan	Comp. Sci.	65000	10101	CS-315	1	Spring	2018
10101	Srinivasan	Comp. Sci.	65000	10101	CS-347	1	Fall	2017
12121	Wu	Finance	90000	12121	FIN-201	1	Spring	2018
15151	Mozart	Music	40000	15151	MU-199	1	Spring	2018
22222	Einstein	Physics	95000	22222	PHY-101	1	Fall	2017
32343	El Said	History	60000	32343	HIS-351	1	Spring	2018
45565	Katz	Comp. Sci.	75000	45565	CS-101	1	Spring	2018
45565	Katz	Comp. Sci.	75000	45565	CS-319	1	Spring	2018
76766	Crick	Biology	72000	76766	<b>BIO-101</b>	1	Summer	2017
76766	Crick	Biology	72000	76766	BIO-301	1	Summer	2018
83821	Brandt	Comp. Sci.	92000	83821	CS-190	1	Spring	2017
83821	Brandt	Comp. Sci.	92000	83821	CS-190	2	Spring	2017
83821	Brandt	Comp. Sci.	92000	83821	CS-319	2	Spring	2018
98345	Kim	Elec. Eng.	80000	98345	EE-181	1	Spring	2017

The table corresponding to  $\sigma_{instructor.id} = teaches.id$  (instructor x teaches)

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## Join Operation

- Product operation into a single operation.
- Consider relations r(R) and s(S)
- Thus

- can equivalently be written as

instructor  $\mathbf{M}$  Instructor.id = teaches.id teaches

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The join operation allows us to combine a select operation and a Cartesian-

• Let  $\theta$  be a predicate on attributes in the schema R  $\upsilon$  S. The join operation is:  $r \Join_{\theta} s = \sigma_{\theta} (r \times s)$ 

 $\sigma$ instructor.id = teaches.id (instructor X teaches)











## Union Operation

- The **union** operation allows us to combine two relations
- Notation: r U s
- For r U s to be valid.
  - r, s must have the same arity (same number of **attributes**)
  - deals with the same type of values as does the 2nd column of s)

# - The attribute domains must be **compatible** (example: 2nd column of r











## Union Example

• Find all courses taught in the Fall 2017 semester, or in the Spring 2018 semester, or in both:

Course\_id (Osemester="Fall" A year=2017 (section)) U Course\_id (Osemester="Spring" A year=2018 (section))

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# course\_id

**CS-101** CS-315 **CS-319 CS-347** FIN-201 HIS-351 MU-199









### Set-Intersection Operation

- input relations.
- Notation: r ∩ s
- Same requirements as union:
  - r, s have the same arity
  - attributes of r and s are compatible
- Example: Find the set of all courses taught in both the Fall 2017 and the Spring 2018 semesters.
- [course\_id (Osemester="Fall" ∧ year=2017 (section)) ∩



#### • The set-intersection operation allows us to find tuples that are in both the

course\_id (Osemester="Spring" A year=2018 (section))















### Set Difference Operation

- but are not in another.
- Notation r s
- Same requirements as union and set-intersection:
  - r and s must have the same arity
  - attribute domains of r and s must be compatible
- Example: Find all courses taught in the Fall 2017 semester, but not in the Spring 2018 semester  $\Box$  course\_id ( $\sigma$ semester="Fall"  $\land$  year=2017 (section)) -

course\_id CS-347 PHY-101

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#### • The set-difference operation allows us to find tuples that are in one relation

 $\Box$  course\_id ( $\sigma$ semester="Spring"  $\land$  year=2018 (section))













### Equivalent Queries

- There is more than one way to write a query in relational algebra.
- Example: Find information about courses taught by instructors in the Physics department with salary greater than 90,000
- Query 1:  $\sigma_{dept_name="Physics" \land salary > 90,000 (instructor))$
- Query 2:  $\sigma_{dept_name="Physics"}(\sigma_{salary} > 90.000 (instructor))$
- The two queries are not identical; they are, however, equivalent -- they give the same result on any database.















### Equivalent Queries

- department
- Query 1:

• Query 2

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#### • Example: Find information about courses taught by instructors in the Physics

#### $\sigma_{dept_name="Physics"}$ (instructor M instructor.ID = teaches.ID teaches)

#### $(\sigma_{dept_name="Physics"}(instructor)) \bowtie$ instructor.ID = teaches.ID teaches The order of joins is one focus of some of the work on query optimization













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## SQL







## SQL History

- Jose Research Laboratory
- Renamed Structured Query Language (SQL)
- ANSI and ISO SQL: SQL-86, SQL-89, SQL-92, SQL:1999, SQL:2003
- Commercial systems offer most, if not all, SQL-92 features, plus varying feature sets from later standards and special proprietary features.
- Not all examples work on all systems

IBM Sequel language developed as part of System R project at the IBM San











## Components of SQL

- Data Definition Language (DDL): the specification of information about relations, including schema, types, integrity constraints, indices, storage
- Data Manipulation Language (DML): provides the ability to query information from the database and to insert tuples into, delete tuples from, and modify tuples in the database.
- Integrity: the DDL includes commands for specifying integrity constraints. • View definition: The DDL includes commands for defining views.
- Also: Transaction control, embedded and dynamic SQL, authorization











- An SQL relation is defined using the create table command:
  - r is the **name** of the relation
  - each  $A_i$  is an **attribute name** in the schema of relation r
  - $D_i$  is the **data type** of values in the domain of attribute  $A_i$
- Example:
  - create table instructor ( char (5 ID varcha name varcha dept name salary numeri

# create table $r(A_1 D_1, A_2 D_2, ..., A_n D_n, (C_1), ..., (C_k))$











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# create table r ( $A_1 D_1, A_2 D_2, ..., A_n D_n, (C_1), ..., (C_k)$ )











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# create table $r(A_1 D_1, A_2 D_2, ..., A_n D_n, (C_1), ..., (C_k))$











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# create table $r(A_1 D_1, A_2 D_2, ..., A_n D_n, (C_1), ..., (C_k))$











## Integrity Constraints in Create Table

- Types of integrity constraints
  - primary key  $(A_1, \ldots, A_n)$
  - foreign key  $(A_m, \ldots, A_n)$  references r
  - not null
- create table instructor (
  - char(5), ID name
  - dept name **varchar**(20),
  - varchar(20) not null, salary numeric(8,2),
  - primary key (ID),

SQL prevents any update to the database that violates an integrity constraint

foreign key (dept name) references department);



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### Updates to tables

- Insert: insert into instructor values ('10211', 'Smith', 'Biology', 66000);
- Drop Table: drop table r
- Alter: alter table r add A D; alter table r drop A
  - A is the name of the attribute to be added to relation r
  - D is the domain of A
  - All exiting tuples are assigned null for the new attribute's value - Dropping of attributes not widely supported

• Delete: delete from student; -- remove all tuples from student













## Basic Query Structure

- A typical SQL query has the form: **select**  $A_1, A_2, ..., A_n$ **from** *l*<sub>1</sub>, *l*<sub>2</sub>, ..., *l*<sub>m</sub> where *P* 
  - Ai represents an **attribute**
  - r<sub>i</sub> represents a relation
  - *P* is a **predicate**.
- The result of an SQL query is a **relation**





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### Select

- The select clause lists the attributes desired in the result of a query - corresponds to the projection operation of the relational algebra • Example: Find the names of all instructors
- - **select** name **from** instructor;
- Note: SQL names are **case insensitive** 
  - Name and NAME and name are equivalent
  - Some people use upper case for language keywords (e.g. SELECT)











### Select

- SQL allows duplicates in relations as well as in query results.
- To eliminate duplicates, put the keyword **distinct** after **select**.
- Example: Find the department names of all instructors (no duplicates)
  - select distinct dept name **from** instructor;
- The keyword **all** specifies that duplicates should not be removed
  - select all dept name **from** instructor;

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dept\_name Comp. Sci. Finance Music Physics History Physics Comp. Sci. History Finance **Biology** Comp. Sci. Elec. Eng.







### Select

- An asterisk (\*) in the select clause denotes "all attributes" - **select** \* **from** instructor;
- An attribute can be a literal with no from clause (select '437')
  - Result is a table with one column and a single row with value '437'
  - Can give the column a name using as: select '437' as FOO
- An attribute can be a literal with from clause:
  - select 'A' from instructor
  - instructors table), each row with value "A"

- Result is a table with one column and N rows (number of tuples in the









## Select "Math"

- The select clause can contain arithmetic expressions involving the
- The query select ID, name, salary/12 from instructor would return a relation that is the same as the instructor relation, except that the value of the attribute salary is divided by 12.
- Can rename expressions using the **as** clause: - select ID, name, salary/12 as monthly salary

# operation, +, -, \*, and /, and operating on constants or attributes of tuples.











## Where

- The where clause specifies conditions that the result must satisfy
- Example: Find all instructors in Comp. Sci. dept
  - **select** name **from** instructor where dept name = 'Comp. Sci.'

# - Confusingly corresponds to the **selection** predicate in relational algebra











# Where

- The operands can be expressions with operators <, <=, >, >=, =, and <>• SQL allows the use of the logical connectives and, or, and not Comparisons can be applied to results of arithmetic expressions • Example: Find all instructors in Comp. Sci. with salary > 70000

- - select name **from** instructor where dept name = 'Comp. Sci.' and salary > 70000













### From

- The **from** clause lists the relations involved in the query
- Find the Cartesian product instructor X teaches
  - select \* **from** instructor, teaches;
  - All possible instructor teaches pair, with all attributes from both
  - Shared attributes (e.g., ID) are renamed (e.g., instructor.ID)
- Not very useful directly but useful combined with where clauses.

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# - Corresponds to the Cartesian Product operation in relational algebra











### From

- Find the names of all instructors wh taught some course and that course
  - select name, course id **from** instructor, teaches where instructor.ID = teach
- Find the names of all instructors in t department who have taught some and the course id
  - select name, course id **from** instructor, teaches where instructor.ID = teach and instructor.dept name = 'Art'

no have	name	course_id
se_id	Srinivasan	CS-101
	Srinivasan	CS-315
	Srinivasan	CS-347
	Wu	FIN-201
hes.ID	Mozart	MU-199
HES.ID	Einstein	PHY-101
the Art	El Said	HIS-351
	Katz	CS-101
e course	Katz	CS-319
	Crick	BIO-101
	Crick	BIO-301
	Brandt	CS-190
	Brandt	CS-190
	Brandt	CS-319
hes.ID	Kim	EE-181
<b>-</b> . <b>-</b>		











### The Rename Operation

- SQL allows renaming relations and attributes using the **as** clause:
  - old-name as new-name
- Example: Find the names of all instructors who have a higher salary than some instructor in 'Comp. Sci'.
  - select distinct T.name from instructor as T, instructor as S
- where T.salary > S.salary and S.dept name = 'Comp. Sci.' Keyword as is optional and may be omitted
  - instructor as T is equivalent to instructor T











### Set Operations

- Find courses that ran in Fall 2017 or in Spring 2018
- union
- Find courses that ran in Fall 2017 and in Spring 2018
- intersect
- Find courses that ran in Fall 2017 but not in Spring 2018
- except (select course id from section where sem = 'Spring' and year = 2018)

• (select course id from section where sem = 'Fall' and year = 2017) (select course id from section where sem = 'Spring' and year = 2018) • (select course id from section where sem = 'Fall' and year = 2017) (select course id from section where sem = 'Spring' and year = 2018) • (select course id from section where sem = 'Fall' and year = 2017)

[A. Silberschatz et al.]





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# Aggregate Functions

- - **select avg** (salary) **from** instructor where dept name = 'Comp. Sci.';
- Find the total number of instructors who teach a course in the Spring 2018 semester
  - select count(distinct ID) **from** teaches where semester = 'Spring' and year = 2018;
- Find the number of tuples in the course relation
  - select count(\*) from course;

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### • Find the average salary of instructors in the Computer Science department











# Group By

- Find the average salary of instructors in each department
  - select dept name, avg(salary) as avg salary **from** instructor group by dept name;

ID	name	dept_name	salary
76766	Crick	Biology	72000
45565	Katz	Comp. Sci.	75000
10101	Srinivasan	Comp. Sci.	65000
83821	Brandt	Comp. Sci.	92000
98345	Kim	Elec. Eng.	80000
12121	Wu	Finance	90000
76543	Singh	Finance	80000
32343	El Said	History	60000
58583	Califieri	History	62000
15151	Mozart	Music	40000
33456	Gold	Physics	87000
22222	Einstein	Physics	95000

dept_name	avg_salary
Biology	72000
Comp. Sci.	77333
Elec. Eng.	80000
Finance	85000
History	61000
Music	40000
Physics	91000











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# Having Clause

- Filter groups based on predicates
- Predicates in the having clause are applied **after** the formation of groups whereas predicates in the where clause are applied **before** forming groups • Example: Find the names and average salaries of all departments whose
- average salary is greater than 42,000
  - select dept name, avg(salary) as avg salary from instructor group by dept name having avg(salary) > 42000;











# Modification of the Database

- Deleting tuples from a given relation.
- Inserting new tuples into a given relation
- Updating values in some tuples in a given relation











### Deletion

- Delete all instructors: delete from instructor;
- Delete all instructors from the Finance department
  - **delete from** instructor where dept name= 'Finance';
- a department located in the Watson building
- **delete from** instructor where dept name in (select dept name

Delete all tuples in the instructor relation for those instructors associated with

**from** department where building = 'Watson');











### Deletion

- Delete all instructors: delete from instructor;
- Delete all instructors from the Finance department
  - **delete from** instructor where dept name= 'Finance';
- a department located in the Watson building
- **delete from** instructor where dept name in (select dept name

Delete all tuples in the instructor relation for those instructors associated with

**from** department where building = 'Watson');











### Insertion

- Add a new tuple to course
  - insert into course
- Or...
- Add a new tuple to student with tot creds set to null
  - insert into student values ('3003', 'Green', 'Finance', null);

values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);

- insert into course (course id, title, dept name, credits) values ('CS-437', 'Database Systems', 'Comp. Sci.', 4);











### Insertion

- **insert into** instructor select ID, name, dept name, 18000 from student where dept name = 'Music' and total cred > 144;

- are inserted into the relation.
- If not queries like

would cause problems

 Make each student in the Music department who has earned more than 144 credit hours an instructor in the Music department with a salary of \$18,000.

• The select-from-where statement is evaluated fully before any of its results

insert into table1 select \* from table1











### Jpdates

- Give a 5% salary raise to all instructors
  - update instructor set salary = salary \* 1.05
- Give a 5% salary raise to those instructors who earn less than 70000
  - update instructor set salary = salary \* 1.05 where salary < 70000;</pre>
- Give a 5% salary raise to instructors whose salary is less than average
  - update instructor set salary = salary \* 1.05 where salary < (select avg(salary) from instructor);</pre>





Northern Illinois University







## Jpdates

- others by a 5%
- Use two update statements:
- update instructor set salary = salary \* 1.03 where salary > 100000;
- update instructor set salary = salary \* 1.05 where salary <= 100000;</pre>
- Order matters!

### D. Koop, CSCI 640/490, Spring 2023

### Increase salaries of instructors whose salary is over \$100,000 by 3%, and all













