

# Programming Principles in Python (CSCI 503/490)

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Concurrency

Dr. David Koop

# unittest

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- Subclass from `unittest.TestCase`, write `test_*` functions
- Use `assert*` instance functions
- `import unittest`

```
class TestOperators(unittest.TestCase):  
    def test_add(self):  
        self.assertEqual(add(3, 4), 7)  
  
    def test_add_op(self):  
        self.assertEqual(operator.add(3, 4), 7)  
unittest.main(argv=[''], exit=False)
```

# Mock Testing

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- Sometimes we don't want to actually execute all of the code that may be triggered by a particular test
- Examples: code that posts to Twitter, code that deletes files
- We can mock this behavior by substituting the actual methods with mockers
- Can even simulate side effects like having the function being mocked raise an exception signifying the network is done

# Python Modules for Working with the Filesystem

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- In general, cross-platform! (Linux, Mac, Windows)
- `os`: translations of operating system commands
- `shutil`: better support for file and directory management
- `fnmatch`, `glob`: match filenames, paths
- `os.path`: path manipulations
- `pathlib`: object-oriented approach to path manipulations, also includes some support for matching paths

# Listing Files in a Directory

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- Difference between file and directory
- `isfile/is_file` and `isdir/is_dir` methods
  - `os.path.isfile/isdir`
  - `DirEntry.is_file/is_dir`
  - `Path.is_file/is_dir`
- Test while iterating through
  - ```
from pathlib import Path
basepath = Path('my_directory/')
files_in_basepath = basepath.iterdir()
for item in files_in_basepath:
    if item.is_file():
        print(item.name)
```

# File Attributes

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- Getting information about a file is "stat"-ing it (from the system call name)
- Names are similarly a bit esoteric, use documentation
- `os.stat` or use `.stat` methods on `DirEntry/Path`
- Modification time:
  - ```
from pathlib import Path
current_dir = Path('my_directory')
for path in current_dir.iterdir():
    info = path.stat()
    print(info.st_mtime)
```
- Also can check existence: `path.exists()`

# Filename Pattern Matching

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- `string.endswith/startswith`: no wildcards
- `fnmatch`: adds `*` and `?` wildcards to use when matching (**not** just like regex!)
- `glob.glob`: treats filenames starting with `.` as special
  - can do recursive matchings (e.g. in subdirectories) using `**`
- `pathlib.Path.glob`: object-oriented version of `glob`
- ```
from pathlib import Path
p = Path('.')
for name in p.glob('*.*'):
    print(name)
```
- Also, can break apart paths:
  - `split/basename/dirname/join ~ parent/name/joinpath`

[V. Ndlovu]

# Assignment 6

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- Object-Oriented Programming
- Classes to create a library
  - Inheritance
  - Representations
  - Property
  - Exceptions
- Due this Friday, best to complete **before** the second test



# Test 2

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- This Wednesday, November 5, in class from 9:30-10:45am
- Similar Format to Test 1
- Emphasizes topics covered since Test 1, but still need to know core concepts from the first third of the course

# Deleting Files and Directories

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- Files: `os.remove` or `os.unlink`, or `pathlib.Path.unlink`
- `from pathlib import Path`  
`Path('home/data.txt').unlink()`
- Directories: `rmdir` or `shutil.rmtree`
  - `rmdir` only works if the directory is **empty**
  - **Careful:** this deletes the entire directory (and everything inside it)
    - `shutil.rmtree('my_documents/bad_dir')`

# Copying Files & Directories

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- `shutil.copy`: copy file to specified directory
  - `shutil.copy('path/to/file.txt', 'path/to/dest_dir')`
- `shutil.copy2` preserves metadata, same syntax
- Copy entire tree: `shutil.copytree('data_1', 'data1_backup')`

# Moving and Renaming Files/Directories

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- Moving files or directories:
  - `shutil.move('dir_1/', 'backup/')`
- Renaming files or directories:
  - `os.rename`
  - `pathlib.Path.rename`
  - `data_file = Path('data_01.txt')`  
`data_file.rename('data.txt')`

# Archives

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- `zipfile`: module to deal with zip files
- `tarfile`: module to deal with tar files, can compress (`tar.gz`)
- Easier: `shutil.make_archive`
  - Specify base name, format, and root directory to archive
  - `shutil.make_archive('data/backup', 'tar', 'data/')`
- To extract, use `shutil.unpack_archive`

# Inspecting System Information

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- `psutil`: cross platform library for information on running processes and system utilization
  - Monitor system
  - Profile system resources
  - Manage running processes

# General System Information

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- `cpu_count`: number of cpus
- `cpu_percent`:
- `virtual_memory`
- `swap_memory`
- `disk_partitions`
- `net_connections`
- `users`

# Process Information

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- Information on a specific process
- Identify process by its process id
  - `psutil.pids()`
  - `p = psutil.Process(7055)`
- Then, we can query process for information
  - `p.name()`
  - `p.exe()`
  - `p.cwd()`
  - `p.parent()`
  - `p.memory_info()`



# Concurrency

# What is concurrency?

Why do we care about concurrency  
(multitasking and multiprocessing)?

# Why concurrency?

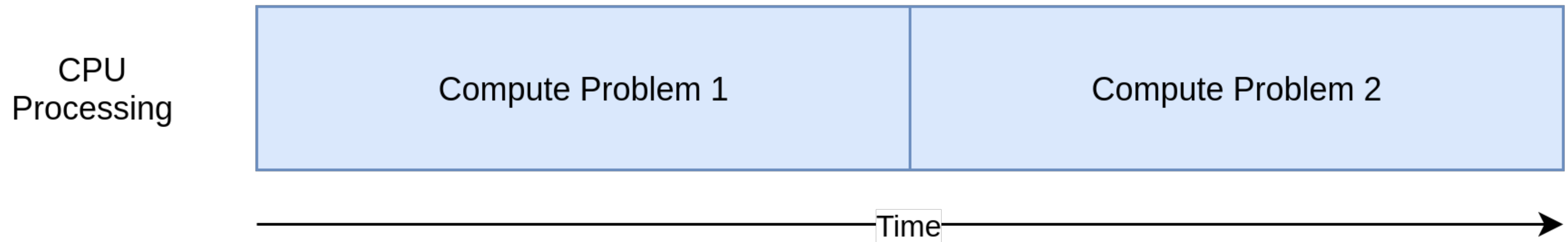
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- Speed:
  - Moore's Law and multiple cores
  - CPU-bound programs can use more cores
- Input/Output
  - Programs often sit waiting for data to load from disk/network

# CPU-Bound

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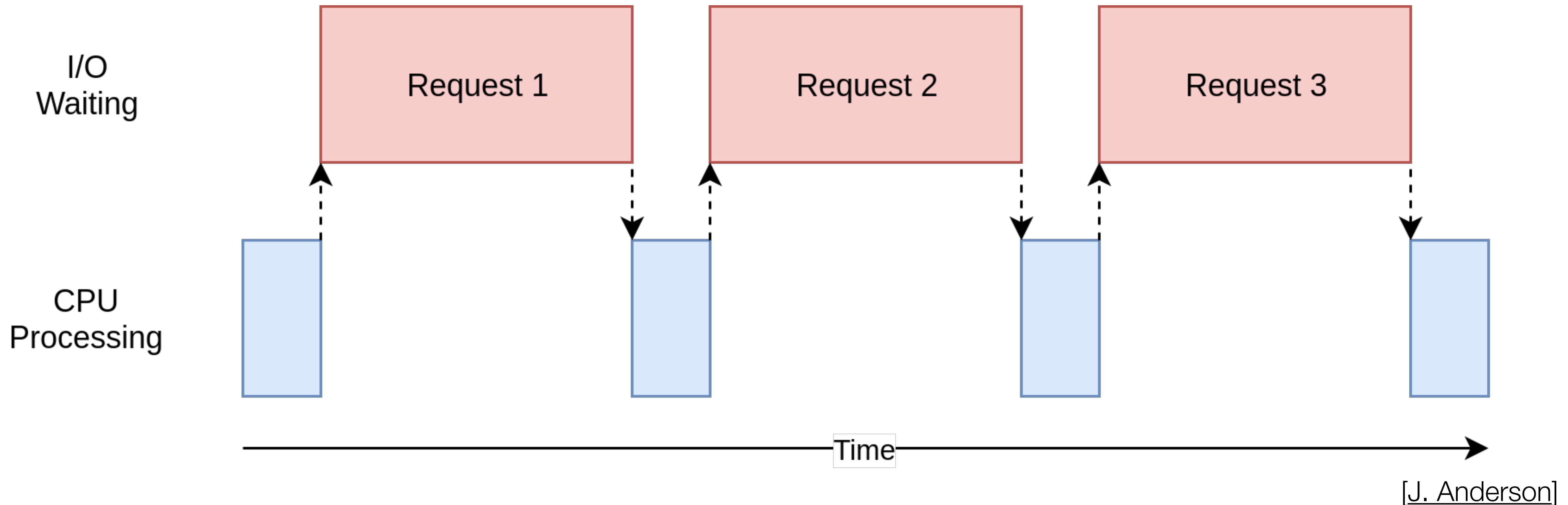
- Have to run each problem in sequence
- Wait for Problem 1 to finish before Problem 2 can start
- ...even if they are totally separate problems!
- What if we could use another core for Problem 2?



[J. Anderson]

# I/O-Bound

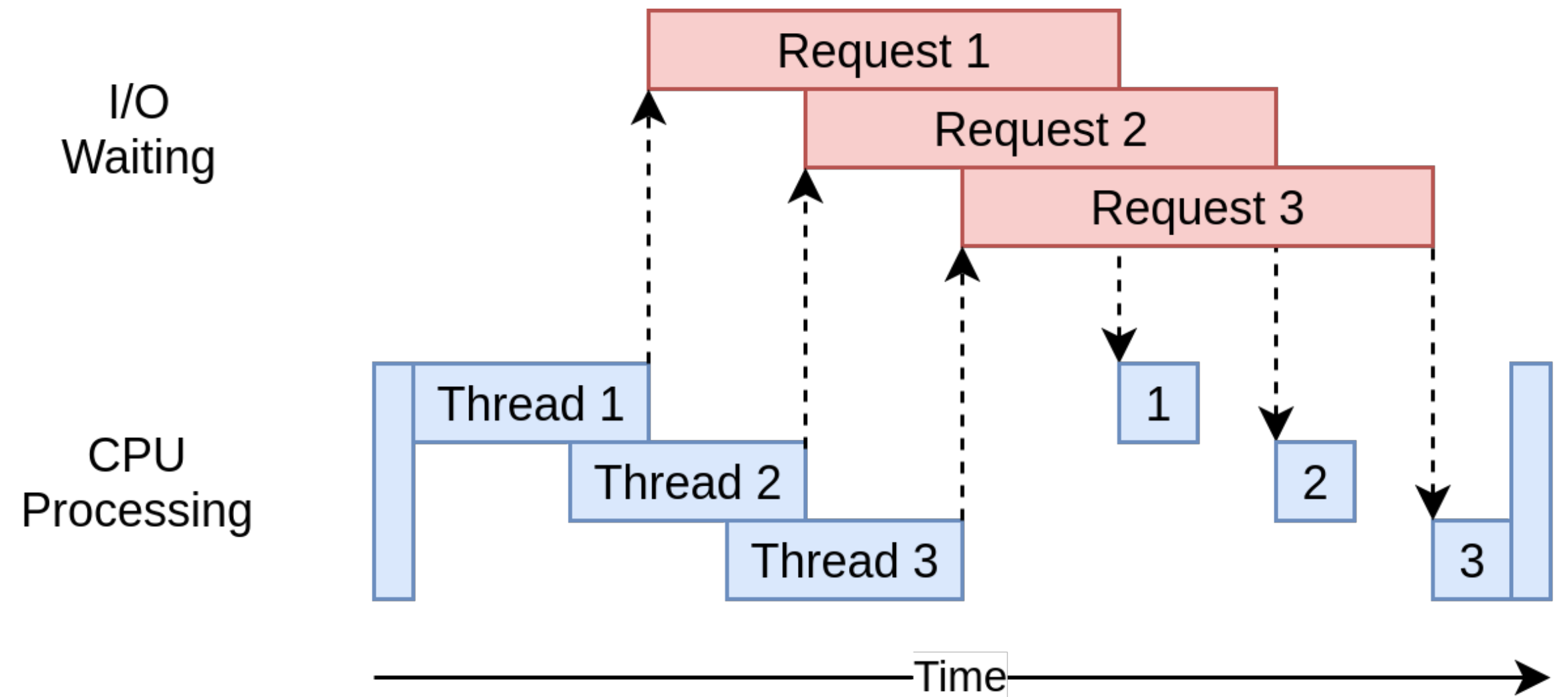
- Waiting for the file system or network to get data
- Nothing else happens while we wait for I/O to finish
- What if we could do something else while waiting for I/O?



[J. Anderson]

# Threading

- Threading address the I/O waits by letting separate pieces of a program run at the same time
- Threads run in the same process
- Threads share the same memory (and global variables)
- Operating system schedules threads; it can manage when each thread runs, e.g. round-robin scheduling
- When blocking for I/O, other threads can run



[J. Anderson]

# Threading Problem: Race Conditions

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- Two threads, T1 and T2 that increment a variable  $a = 42$
- We don't know when these threads will be **interrupted** by the OS
- T1 reads the value of  $a$  (42)  
T1 adds one and writes  $a$  (43) # T1 finished  
T2 reads the value of  $a$  (43)  
T2 adds one and writes  $a$  (44) # T2 finished
- T1 reads the value of  $a$  (42) # T1 INTERRUPT  
T2 reads the value of  $a$  (42) # T2 INTERRUPT  
T1 adds one and writes  $a$  (43) # T1 finished  
T2 adds one and writes  $a$  (43) # T2 finished
- Two different answers!



# Threading Solution: Locking

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- Ensure no two threads can access the same variable at the same time
- T1 acquires a lock on a
  - T1 reads the value of a (42) # T1 INTERRUPT
  - T2 waits for a lock on a # T2 BLOCKED, sleeps
  - T1 adds one and writes a (43)
  - T1 releases lock on a # T1 finished
  - T2 acquires a lock on a
  - T2 reads the value of a (43)
  - T2 adds one and writes a (44)
  - T2 releases lock on a # T2 finished

# Python and Threading

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- ```
import threading
def printer(num):
    print(num)
for i in range(5):
    t = threading.Thread(target=printer, args=(i,))
    t.start()
```
- Try this: you will likely see out-of-order outputs or weird formatting
- Why?

# Python Locks

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- ```
my_lock = threading.Lock()

def printer(num):
    with my_lock:
        print(num)

for i in range(5):
    t = threading.Thread(target=printer, args=(i,))
    t.start()
```
- With statement provides context manager to acquire and release the lock

# ThreadPoolExecutor

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- Can be difficult to keep track of all threads
- Want to reuse threads instead of creating a new one each time
- Wait until all threads are done executing before next tasks
- `ThreadPoolExecutor` simplifies this
- ```
from concurrent.futures import ThreadPoolExecutor  
with ThreadPoolExecutor(max_workers=5) as executor:  
    executor.map(printer, range(10))
```
- `max_workers` specifies the number of threads (can compute multiple times on one thread)
- `map` figures out how to assign the inputs to the threads

# Python Threading Speed

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- If I/O bound, threads work great because time spent waiting can now be used by other threads
- Threads **do not** run simultaneously in standard Python, i.e. they cannot take advantage of multiple cores
- Use threads when code is **I/O bound**, otherwise no real speed-up plus some overhead for using threads

# Using multiple cores at once

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- Python is linear/serial; only one thread executes at a time
- Python has **garbage collection**, releasing memory when not used
  - Requires keeping track of all objects by **reference counting**
  - `a = { 'IL', 'IN', 'OH' }`  
`b = { 'states': a }`
  - `{ 'IL', 'IN', 'OH' }` has a reference count of 2 (a and b both reference it)
- Problem: keeping track of references across different threads/processes

# Python and the GIL

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- Remember Python integrates other libraries, including those written in C
- Python was designed to have a thread-safe interface for C libraries (which were not necessarily themselves thread-safe)
- Could add locking to every value/data structure, but with multiple locks comes possible **deadlock**
- Python instead has a Global Interpreter Lock (GIL) that must be acquired to execute any Python code
- This effectively makes Python single-threaded (faster execution)
- Python requires threads to give up GIL after certain amount of time
- Python 3 improved allocation of GIL to threads by not allowing a single CPU-bound thread to hog it



# --disable-gil (No GIL Python)

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- GIL Problems:
  - Difficult to use multi-core CPUs effectively for scientific applications
  - GPU-Heavy workloads (AI) require effective multi-core CPU execution
  - Workarounds are complex, make libraries more difficult to use and maintain
- PEP 703: Making the Global Interpreter Lock Optional in Python
  - Use biased reference counting (most objects used by a single thread)
  - Change memory allocator to one that is thread-safe (pymalloc relies on GIL)
  - Use per-object locking for container thread safety
  - Updates to the garbage collector (non-generational) that also allow "stop-the-world" on threads

[PEP 703]

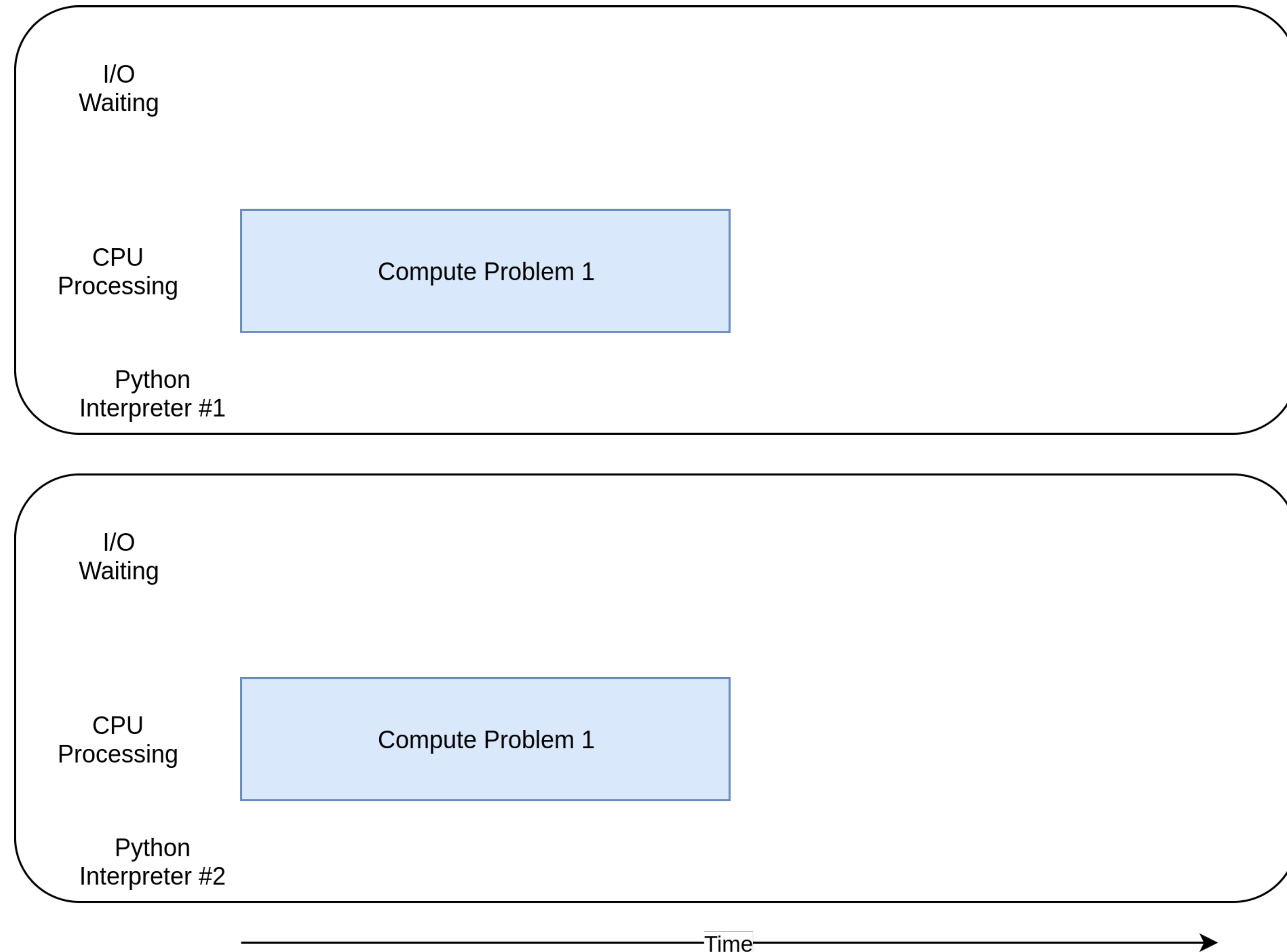


# Multiprocessing

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- Multiple processes do not need to share the same memory, interact less
- Python makes the difference between processes and threads minimal in most cases
- Big win: can take advantage of multiple cores!
- ```
import multiprocessing  
with multiprocessing.Pool() as pool:  
    pool.map(printer, range(5))
```
- **Warning:** known issues with running this in the notebook, use in scripts or look for alternate possibilities/library
- Set `__spec__ = None` to use the `%run` command in the notebook with a multiprocessing script

# Multiprocessing address CPU-bound processes



[J. Anderson]

# Multiprocessing using concurrent.futures

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- ```
import concurrent.futures
import multiprocessing as mp
import time

def dummy(num):
    time.sleep(5)
    return num ** 2

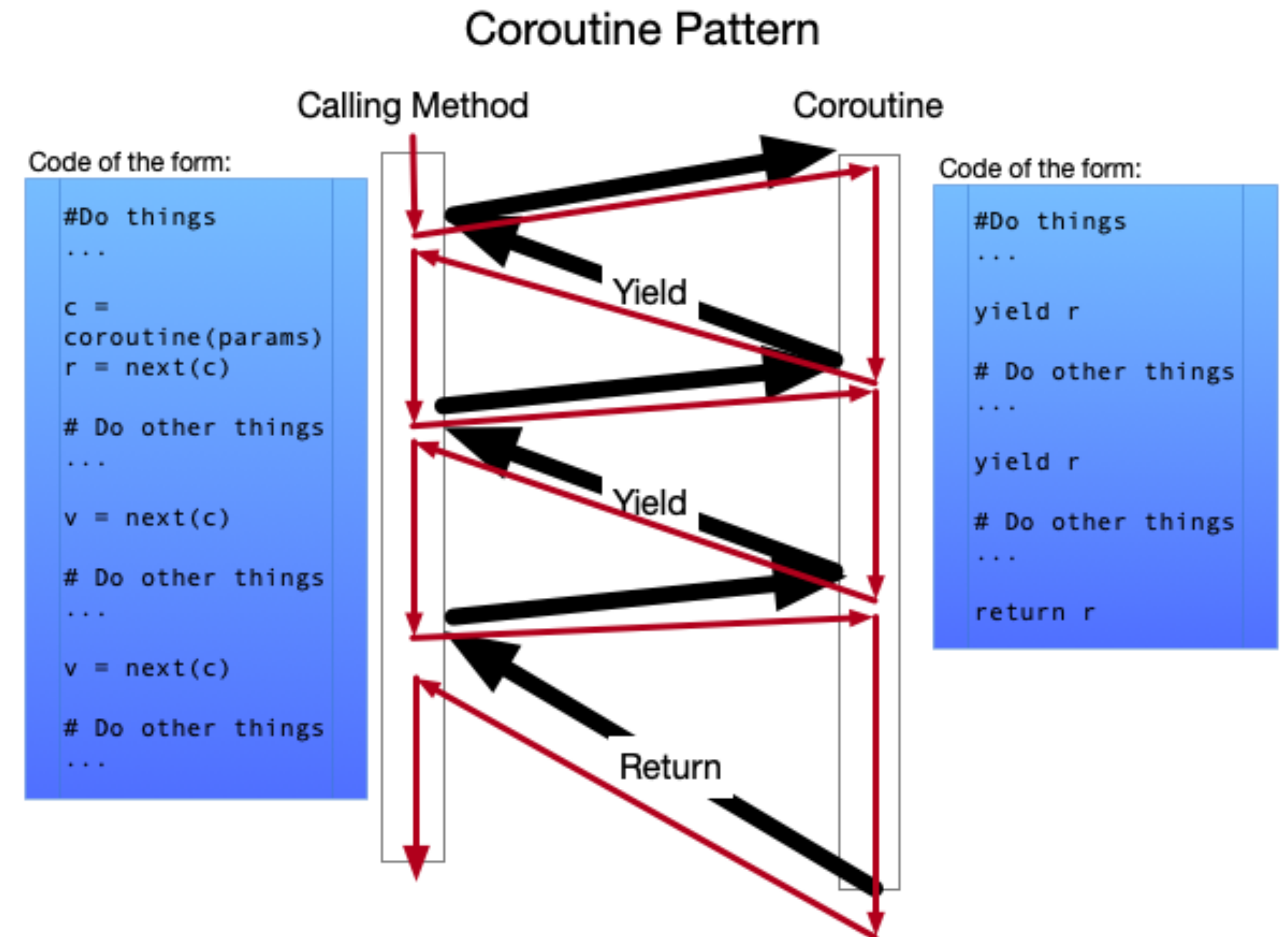
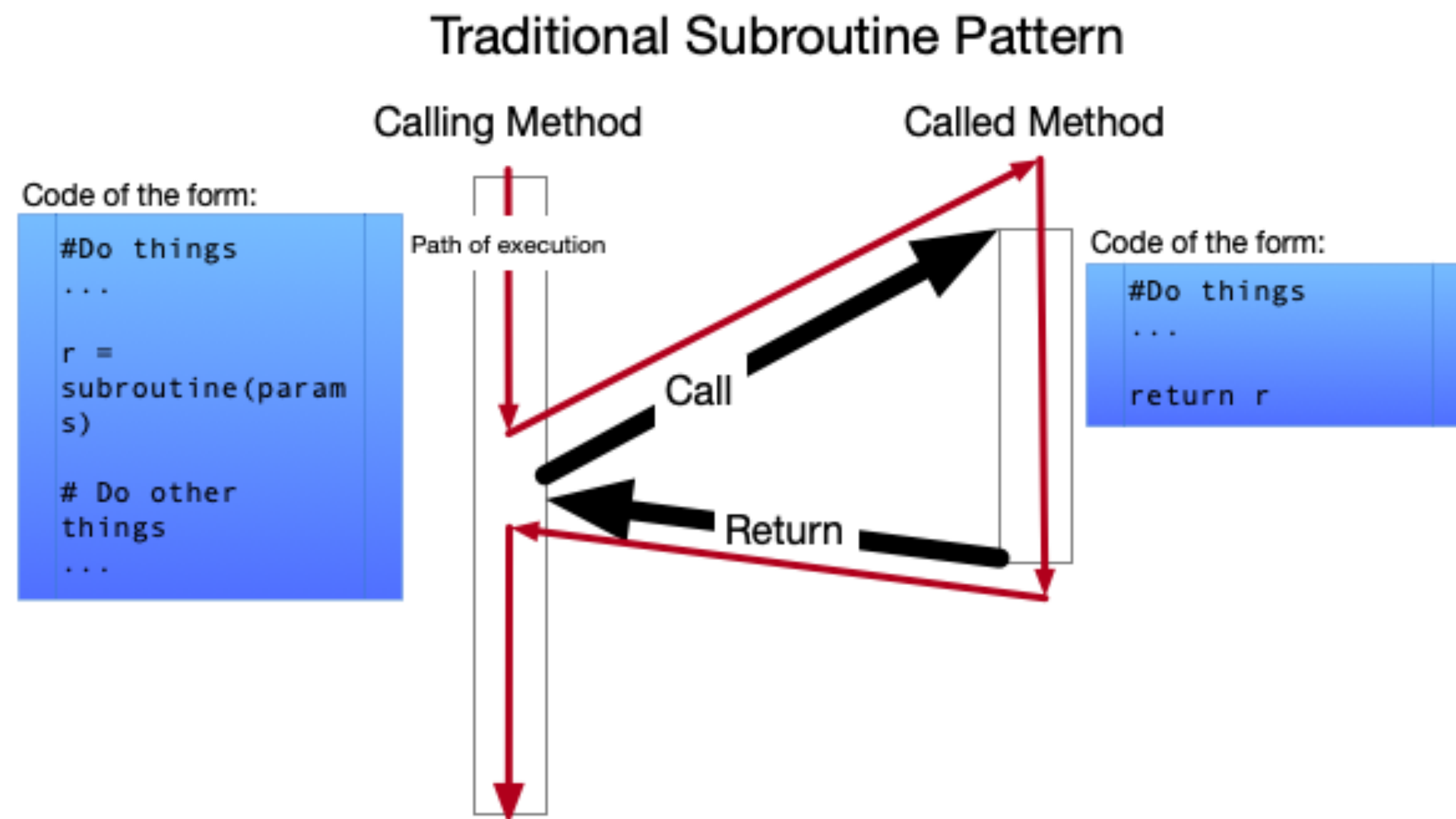
with concurrent.futures.ProcessPoolExecutor(max_workers=5,
                                             mp_context=mp.get_context('fork')) as executor:
    results = executor.map(dummy, range(10))
```
- `mp.get_context('fork')` changes from `'spawn'` used by default in MacOS, works in notebook

# When to use threading or multiprocessing?

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- If your code has a lot of I/O or Network usage:
  - Multithreading is your best bet because of its low overhead
- If you have a GUI
  - Multithreading so your UI thread doesn't get locked up
- If your code is CPU bound:
  - You should use multiprocessing (if your machine has multiple cores)

# Subroutines vs. Coroutines



[J. Weaver]

# Generators basically do this!

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- ```
def random_numbers(start=1, end=1000):  
    while True:  
        yield random.randint(start, end)  
for x in random_numbers():  
    print(x)
```
- The `yield` statements pause execution of the function and go back to the main function
- They are almost coroutines except you can't pass anything in
- Hard to have multiple things going on



# asyncio

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- Single event loop that controls when each task is run
- Tasks can be ready or waiting
- Tasks are **not interrupted** like they are with threading
  - Task controls when control goes back to the main event loop
  - Either waiting or complete
- Event loop keeps track of whether tasks are ready or waiting
  - Re-checks to see if new tasks are now ready
  - Picks the task that has been waiting the longest

[J. Anderson]

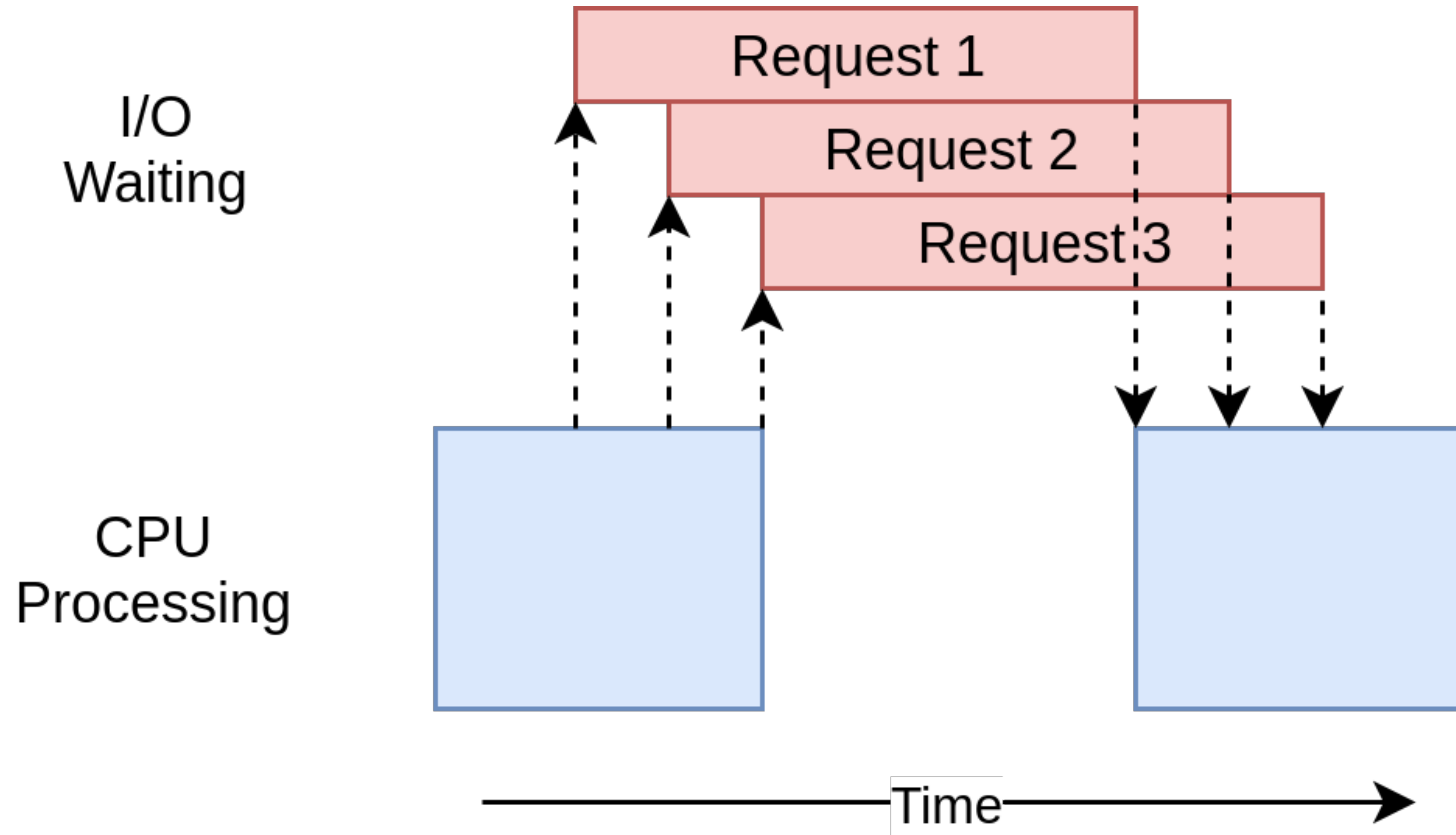
# async

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- `async` is a keyword that tells Python that the function uses `await`
- Also `async` with context manager
- ```
async def download_site(session, url):  
    async with session.get(url) as response:  
        print("Read {0} from {1}".format(  
            response.content_length, url))
```
- `asyncio` uses a single thread
- Requires special libraries (`aiohttp`)
- Tends to have less overhead than multiprocessing



# asyncio



# When to use threading, asyncio, or multiprocessing?

---

- If your code has a lot of I/O or Network usage:
  - If there is library support, use asyncio
  - Otherwise, multithreading is your best bet (lower overhead)
- If you have a GUI
  - Multithreading so your UI thread doesn't get locked up
- If your code is CPU bound:
  - You should use multiprocessing (if your machine has multiple cores)

[J. Anderson]

# Concurrency Comparison

Concurrency Type	Switching Decision	Number of Processors
Pre-emptive multitasking (threading)	The operating system decides when to switch tasks external to Python.	1
Cooperative multitasking (asyncio)	The tasks decide when to give up control.	1
Multiprocessing (multiprocessing)	The processes all run at the same time on different processors.	Many

[J. Anderson]