

Programming Principles in Python (CSCI 503)

Comprehensions, Iterators, and Generators

Dr. David Koop

(some slides adapted from Dr. Reva Freedman)

Tuple Packing and Unpacking

- ```
def f(a, b):
 if a > 3:
 return a, b-a # tuple packing
 return a+b, b # tuple packing
```
- ```
c, d = f(4, 3) # tuple unpacking
```
- Make sure to unpack the correct number of variables!
- ```
c, d = a+b, a-b, 2*a # ValueError: too many values to unpack
```
- Sometimes, check return value before unpacking:
  - ```
retval = f(42)  
if retval is not None:  
    c, d = retval
```

Tuple Packing and Unpacking

- def f(a, b):
 if a > 3:
 return a, b-a # tuple packing
 return a+b, b # tuple packing
- c, d = f(4, 3) # tuple unpacking
- Make sure to unpack the correct number of variables!
- c, d = a+b, a-b, 2*a # ValueError: too many values to unpack
- Sometimes, check return value before unpacking:
 - retval = f(42)
if retval is not None:
 c, d = retval

```
t = (a, b-a)
return t
```

Tuple Packing and Unpacking

- def f(a, b):
 if a > 3:
 return a, b-a # tuple packing
 return a+b, b # tuple packing
- c, d = f(4, 3) # tuple unpacking

```
t = (a, b-a)  
return t
```

```
t = f(4, 3)  
(c, d) = t
```

- Make sure to unpack the correct number of variables!
- c, d = a+b, a-b, 2*a # ValueError: too many values to unpack
- Sometimes, check return value before unpacking:
 - retval = f(42)
if retval is not None:
 c, d = retval

Dictionary

- AKA associative array or map
- Collection of key-value pairs
 - Keys must be unique
 - Values need not be unique
- Syntax:
 - Curly brackets {} delineate start and end
 - Colons separate keys from values, commas separate pairs
 - `d = { 'DeKalb': 783, 'Kane': 134, 'Cook': 1274, 'Will': 546 }`
- No type constraints
 - `d = { 'abc': 25, 12: 'abc', ('Kane', 'IL'): 123.54 }`

Collections

- A dictionary is **not** a sequence
- Sequences are **ordered**
- Conceptually, dictionaries need no order
- A dictionary is a **collection**
- Sequences are also collections
- All collections have length (`len`), membership (`in`), and iteration (loop over values)
- Length for dictionaries counts number of key-value **pairs**
 - Pass dictionary to the `len` function
 - ```
d = {'abc': 25, 12: 'abc', ('Kane', 'IL'): 123.54}
len(d) # 3
```

# Mutability

---

- Dictionaries are **mutable**, key-value pairs can be added, removed, updated
- ```
d = { 'DeKalb': 783, 'Kane': 134, 'Cook': 1274, 'Will': 546}
```
- ```
d['Winnebago'] = 1023 # add a new key-value pair
```
- ```
d['Kane'] = 342           # update an existing key-value pair
```
- ```
d.pop('Will') # remove an existing key-value pair
```
- ```
del d['Winnebago']       # remove an existing key-value pair
```
- ```
d.update({ 'Winnebago': 1023, 'Kane': 324 })
```
- ```
d.update([ ('Winnebago', 1023), ('Kane', 324) ])
```
- ```
d.update(Winnebago=1023, Kane=324)
```

# Dictionary Methods

| Method                                    | Meaning                                                              |
|-------------------------------------------|----------------------------------------------------------------------|
| <code>&lt;dict&gt;.clear ()</code>        | Remove all key-value pairs                                           |
| <code>&lt;dict&gt;.update (other)</code>  | Updates the dictionary with values from other                        |
| <code>&lt;dict&gt;.pop (k, d=None)</code> | Removes the pair with key k and returns value or default d if no key |
| <code>&lt;dict&gt;.get (k, d=None)</code> | Returns the value for the key k or default d if no key               |
| <code>&lt;dict&gt;.items ()</code>        | Returns iterable view over all pairs as (key, value) tuples          |
| <code>&lt;dict&gt;.keys ()</code>         | Returns iterable view over all keys                                  |
| <code>&lt;dict&gt;.values ()</code>       | Returns iterable view over all values                                |

# Dictionary Methods

| Method                                    | Meaning                                                              | Mutate |
|-------------------------------------------|----------------------------------------------------------------------|--------|
| <code>&lt;dict&gt;.clear ()</code>        | Remove all key-value pairs                                           |        |
| <code>&lt;dict&gt;.update (other)</code>  | Updates the dictionary with values from other                        |        |
| <code>&lt;dict&gt;.pop (k, d=None)</code> | Removes the pair with key k and returns value or default d if no key |        |
| <code>&lt;dict&gt;.get (k, d=None)</code> | Returns the value for the key k or default d if no key               |        |
| <code>&lt;dict&gt;.items ()</code>        | Returns iterable view over all pairs as (key, value) tuples          |        |
| <code>&lt;dict&gt;.keys ()</code>         | Returns iterable view over all keys                                  |        |
| <code>&lt;dict&gt;.values ()</code>       | Returns iterable view over all values                                |        |

# Iteration

---

- Even though dictionaries are not sequences, we can still iterate through them
- Principle: Don't depend on order
- ```
for k in d:                  # iterate through keys
    print(k, end=" ")
```
- ```
for k in d.keys(): # iterate through keys
 print('key:', k)
```
- ```
for v in d.values():        # iterate through values
    print('value:', v)
```
- ```
for k, v in d.items(): # iterate through key-value pairs
 print('key:', k, 'value:', v)
```

# Sets

---

- Sets are dictionaries but without the values
- Same curly braces, no pairs
- `s = {'DeKalb', 'Kane', 'Cook', 'Will'}`
- Only one instance of a value is in a set—sets **eliminate duplicates**
- Adding multiple instances of the same value to a set doesn't do anything
- `s = {'DeKalb', 'DeKalb', 'DeKalb', 'Kane', 'Cook', 'Will'}`  
  `# {'Cook', 'DeKalb', 'Kane', 'Will'}`
- Watch out for the empty set
  - `s = {} # not a set!`
  - `s = set() # an empty set`

# Assignment 3

---

- Pokémon Data
- Lots of iteration and dictionary access
- Also create new lists and dictionaries

# Sets

---

- Sets are dictionaries but without the values
- Same curly braces, no pairs
- ```
s = {'DeKalb', 'Kane', 'Cook', 'Will'}
```
- Only one instance of a value is in a set—sets **eliminate duplicates**
- Adding multiple instances of the same value to a set doesn't do anything
- ```
s = {'DeKalb', 'DeKalb', 'DeKalb', 'Kane', 'Cook', 'Will'}
{'Cook', 'DeKalb', 'Kane', 'Will'}
```
- Watch out for the empty set
  - ```
s = {} # not a set!
```
 - ```
s = set() # an empty set
```

# Sets are Mutable Collections

---

- Sets are **mutable** like dictionaries: we can add, replace, and delete
- Again, no type constraints
  - `s = {12, 'DeKalb', 22.34}`
- Like a dictionary, a set is a **collection** but not a sequence
- Q: What three things can we do for any collection?

# Collection Operations on Sets

---

- `s = {'DeKalb', 'Kane', 'Cook', 'Will'}`
- Length
  - `len(s) # 4`
- Membership: fast just like dictionaries
  - `'Kane' in s # True`
  - `'Winnebago' not in s # True`
- Iteration
  - `for county in s:  
 print(county)`

# Mathematical Set Operations

---

- `s = {'DeKalb', 'Kane', 'Cook', 'Will'}`  
`t = {'DeKalb', 'Winnebago', 'Will'}`
- Union: `s | t # {'DeKalb', 'Kane', 'Cook', 'Will', 'Winnebago'}`
- Intersection: `s & t # {'DeKalb', 'Will'}`
- Difference: `s - t # {'Kane', 'Cook'}`
- Symmetric Difference: `s ^ t # {'Kane', 'Cook', 'Winnebago'}`
- Object method variants: `s.union(t)`, `s.intersection(t)`,  
`s.difference(t)`, `s.symmetric_difference(t)`
- Disjoint: `s.isdisjoint(t) # False`

# Mutation Operations

---

- `add: s.add('Winnebago')`
- `discard: s.discard('Will')`
- `remove: s.remove('Will') # generates KeyError if not exist`
- `clear: s.clear() # removes all elements`
- Variants of the mathematical set operations (have augmented assignments)
  - `update (union): |=`
  - `intersection_update: &=`
  - `difference_update: -=`
  - `symmetric_difference_update: ^=`
- Methods take any **iterable**, operators require **sets**

# Comprehensions

# Comprehension

---

- Shortcut for loops that **transform** or **filter** collections
- Functional programming features this way of thinking:  
Pass functions to functions!
- Imperative: a loop with the actual functionality buried inside
- Functional: specify both functionality and data as inputs

# List Comprehension

---

- ```
output = []
for d in range(5):
    output.append(d ** 2 - 1)
```
- Rewrite as a map:
 - ```
output = [d ** 2 - 1 for d in range(5)]
```
- Can also filter:
  - ```
output = [d for d in range(5) if d % 2 == 1]
```
- Combine map & filter:
 - ```
output = [d ** 2 - 1 for d in range(5) if d % 2 == 1]
```

# Comprehensions using other collections

---

- Comprehensions can use existing collections, too (not just ranges)
- Anything that is **iterable** can be used in the for construct (like for loop)
  - `names = ['smith', 'Smith', 'John', 'mary', 'jan']`
  - `names2 = [item.upper() for item in names]`

# Any expression works as output items

---

- Tuples inside of comprehension
  - `[ (s, s+2) for s in slist]`
- Dictionaries, too
  - `[{'i': i, 'j': j} for (i, j) in tuple_list]`
- Function calls
  - `colors = ['smith', 'Smith', 'John', 'mary', 'jan']`  
`colors2 = [item.upper() for item in colors]`

# Multi-Level and Nested Comprehensions

---

- **Flattening** a list of lists

- ```
my_list = [[1,2,3], [4,5], [6,7,8,9,10]]  
[v for vlist in my_list for v in vlist]
```
- `[1,2,3,4,5,6,7,8,9,10]`

- Note that the for loops are in order

- Difference between **nested** comprehensions

- ```
[[v**2 for v in vlist] for vlist in my_list]
```
- `[[1,4,9], [16,25], [36,49,64,81,100]]`

# Comprehensions for other collections

---

- Dictionaries
  - `{ k: v for (k, v) in other_dict.items()  
if k.startswith('a') }`
  - Sometimes used for one-to-one map inverses
- How?

# Comprehensions for other collections

---

- Dictionaries
  - `{k: v for (k, v) in other_dict.items()  
if k.startswith('a')}`
  - Sometimes used for one-to-one map inverses
    - `{v: k for (k, v) in other_dict.items()}`
    - Be careful that the dictionary is actually one-to-one!
- Sets:
  - `{s[0] for s in names}`

# Tuple Comprehension?

---

- `thing = (x ** 2 for x in numbers if x % 2 != 0)`  
`thing # not a tuple! <generator object <genexpr> ...>`
- Actually a **generator!**
- This **delays** execution until we actually need each result

# Iterators

---

- Key concept: iterators only need to have a way to get the next element
- To be **iterable**, an object must be able to **produce** an iterator
  - Technically, must implement the `__iter__` method
- An iterator must have two things:
  - a method to get the **next item**
  - a way to signal **no more** elements
- In Python, an **iterator** is an object that must
  - have a defined `__next__` method
  - raise `StopException` if no more elements available

# Iteration Methods

---

- You can call iteration methods directly, but rarely done
  - ```
my_list = [2, 3, 5, 7, 11]
it = iter(my_list)
first = next(it)
print("First element of list:", first)
```
- `iter` asks for the iterator from the object
- `next` asks for the next element
- Usually just handled by loops, comprehensions, or generators

For Loop and Iteration

- ```
my_list = [2, 3, 5, 7, 11]
for i in my_list:
 print(i * i)
```
- Behind the scenes, the for construct
  - asks for an iterator `it = iter(c)`
  - calls `next(it)` each time through the loop
  - handles the `StopIteration` exception by ending the loop
- Loop won't work if we don't have an iterable!
  - ```
for i in 7892:
    print(i * i)
```

Generators

- Special functions that return lazy iterables
- Use less memory
- Change is that functions `yield` instead of `return`
- ```
def square(it):
 for i in it:
 yield i*i
```
- If we are iterating through a generator, we hit the first `yield` and immediately return that first computation
- Generator expressions just shorthand (remember no tuple comprehensions)
  - `(i * i for i in [1,2,3,4,5])`

# Generators

---

- If memory is not an issue, a comprehension is probably faster
- ...unless we don't use all the items
- ```
def square(it):
    for i in it:
        yield i*i
```
- ```
for j in square([1,2,3,4,5]):
 if j >= 9:
 break
 print(j)
```
- The square function only runs the computation for 1, 2, and 3
- What if this computation is **slow**?

# Lazy Evaluation

---

- ```
u = compute_fast_function(s, t)
v = compute_slow_function(s, t)
if s > t and s**2 + t**2 > 100:
    return u / 100
else:
    return v / 100
```
- We don't write code like this! Why?

Lazy Evaluation

- ```
u = compute_fast_function(s, t)
v = compute_slow_function(s, t)
if s > t and s**2 + t**2 > 100:
 return u / 100
else:
 return v / 100
```
- We don't write code like this! Why?
- Don't compute values until you need to!

# Lazy Evaluation

---

- Rewriting
- if  $s > t$  and  $s^{**}2 + t^{**}2 > 100$ :  
     $u = \text{compute\_fast\_function}(s, t)$   
     $\text{res} = u / 100$   
else:  
     $v = \text{compute\_slow\_function}(s, t)$   
     $\text{res} = v / 100$
- slow function will not be executed unless the condition is true

# Lazy Evaluation

---

- What if this were rewritten as:

```
def my_function(s, t, u, v):
 if s > t and s**2 + t**2 > 100:
 res = u
 else:
 res = v
 return res

my_function(s, t, compute_fast_function(s, t),
 compute_slow_function(s, t))
```

- In some languages (often pure functional languages), computation of *u* and *v* may be **deferred** until we need them
- Python doesn't work that way in this case

# Short-Circuit Evaluation

---

- But Python, and many other languages, do work this way for **boolean** operations
- ```
if b != 0 and a/b > c:  
    return ratio - c
```
- Never get a divide by zero error!
- Compare with:
- ```
def check_ratio(val, ratio, cutoff):
 if val != 0 and ratio > cutoff:
 return ratio - cutoff
check_ratio(b, a/b, c)
```
- Here.  $a/b$  is computed before `check_ratio` is called (but **not used!**)

# Short-Circuit Evaluation

---

- Works from left to right according to order of operations (and before or)
- Works for and and or
- and:
  - if **any** value is False, stop and return False
  - $a, b = 2, 3$   
 $a > 3 \text{ and } b < 5$
- or:
  - if **any** value is True, stop and return True
  - $a, b, c = 2, 3, 7$   
 $a > 3 \text{ or } b < 5 \text{ or } c > 8$

# Short-Circuit Evaluation

---

- Back to our example
- if s > t and compute\_slow\_function(s, t) > 50:  
    c = compute\_slow\_function(s, t)  
else:  
    c = compute\_fast\_function(s, t)
- s, t = 10, 12 # compute\_slow\_function is never run
- s, t = 5, 4   # compute\_slow\_function is run once
- s, t = 12, 10 # compute\_slow\_function is run twice

# Short-Circuit Evaluation

---

- Walrus operator saves us one computation
- ```
if s > t and (c := compute_slow_function(s, t) > 50):
    pass
else:
    c = s ** 2 + t ** 2
```
- `s, t = 10, 12` # `compute_slow_function` is never run
- `s, t = 5, 4` # `compute_slow_function` is run once
- `s, t = 12, 10` # `compute_slow_function` is run once

What about multiple executions?

- ```
for s, t in [(12, 10), (4, 5), (5, 4), (12, 10)]:
 if s > t and (c := compute_slow_function(s, t)) > 50:
 pass
 else:
 c = compute_fast_function(s, t)
```
- What's the problem here?

# What about multiple executions?

---

- ```
for s, t in [(12, 10), (4, 5), (5, 4), (12, 10)]:  
    if s > t and (c := compute_slow_function(s, t)) > 50:  
        pass  
    else:  
        c = compute_fast_function(s, t)
```
- What's the problem here?
- Executing the function for the same inputs twice!

Memoization

- ```
memo_dict = {}
def memoized_slow_function(s, t):
 if (s, t) not in memo_dict:
 memo_dict[(s, t)] = compute_slow_function(s, t)
 return memo_dict[(s, t)]
```
- ```
for s, t in [(12, 10), (4, 5), (5, 4), (12, 10)]:  
    if s > t and (c := memoized_slow_function(s, t)) > 50:  
        pass  
    else:  
        c = compute_fast_function(s, t)
```
- Second time executing for $s=12, t=10$, we don't need to compute!
- Tradeoff memory for compute time

Memoization

- Heavily used in functional languages because there is no assignment
- Cache (store) the results of a function call so that if called again, returns the result without having to compute
- If arguments of a function are **hashable**, fairly straightforward to do this for any Python function by caching in a dictionary
- In what contexts, might this be a bad idea?

Memoization

- Heavily used in functional languages because there is no assignment
- **Cache** (store) the results of a function call so that if called again, returns the result without having to compute
- If arguments of a function are **hashable**, fairly straightforward to do this for any Python function by caching in a dictionary
- In what contexts, might this be a bad idea?
 - ```
def memoize_random_int(a, b):
 if (a,b) not in random_cache:
 random_cache[(a,b)] = random.randint(a,b)
 return random_cache[(a,b)]
```
  - When we want to rerun, e.g. random number generators